

# Graphs and Automata

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1 General overview

2 Stringology and Arbology

# Chomsky classification

Type of grammars	Type of automata
regular grammars	finite automata
context-free grammars	pushdown automata
context-sensitive grammars	linear bounded automata
unrestricted grammars	Turing machines

# Linear notation

Statement:

Do traversing the structure and perform following operations. . .

Such statement leads to a linearisation of the structure in question. There is a possibility to divide such process into two parts:

- 1 Creating a linear notation of the structure
- 2 Processing the linear notation of the structure

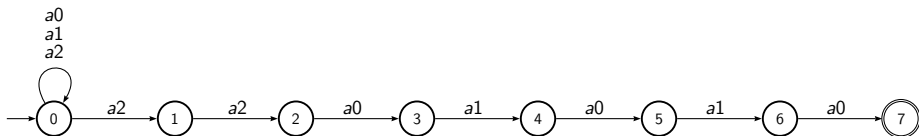
# Graphs and automata

Type of graphs	Type of automata	Discipline
“linear” graphs	finite automata	stringology
trees	pushdown automata	arbology
directed acyclic graphs	linear bounded automata	dagology
general graphs	Turing machines	?

# Pattern matching

## Example

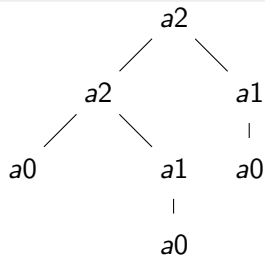
String:  $t = a2 a2 a0 a1 a0 a1 a0$



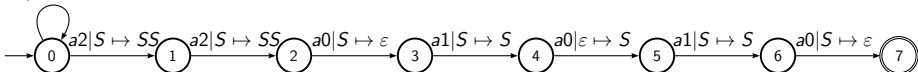
# Pattern matching

## Example

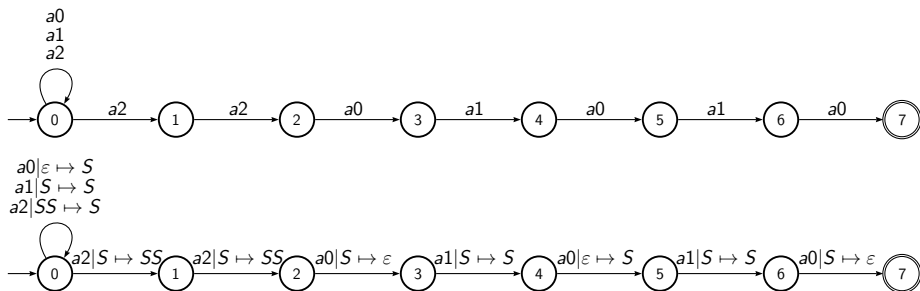
String:  $t = a2 a2 a0 a1 a0 a1 a0$  – prefix notation



$a0|\epsilon \mapsto S$   
 $a1|S \mapsto S$   
 $a2|SS \mapsto S$

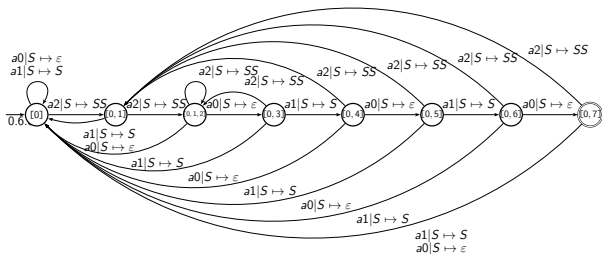
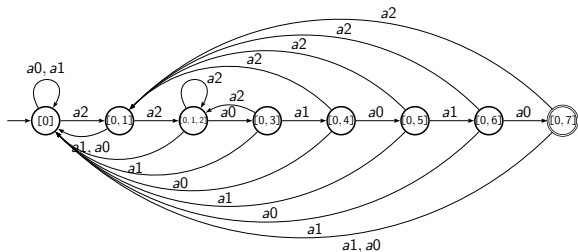


# Pattern matching

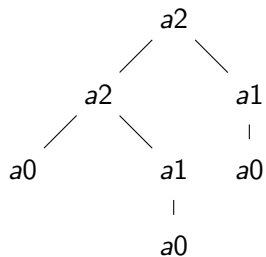




# Deterministic finite and pushdown automata

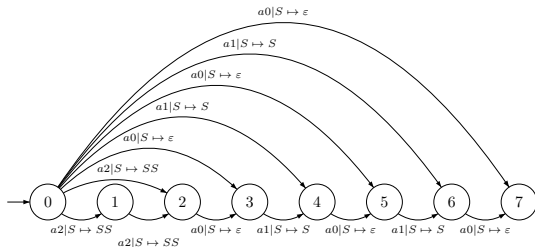
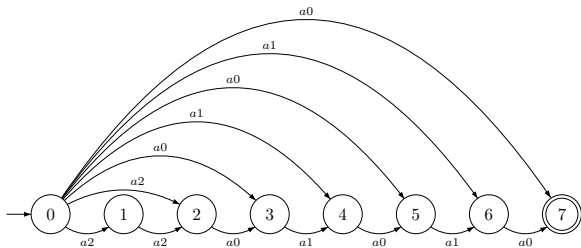


# Indexing



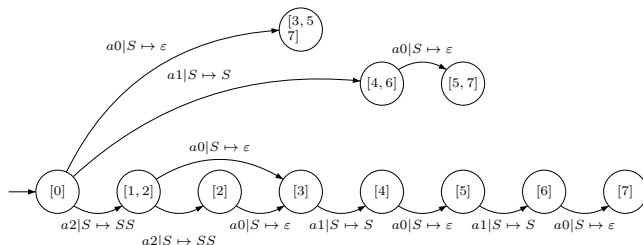
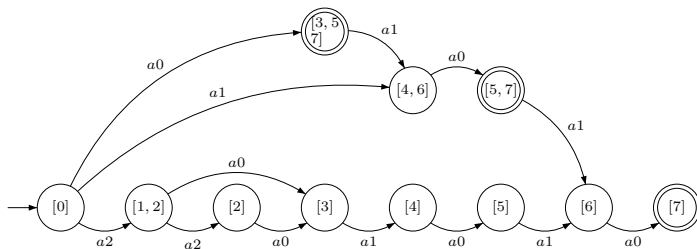
# Indexing

## Nondeterministic factor and subtree PDA

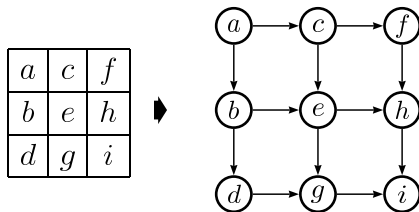


# Indexing

## Deterministic factor and subtree PDA

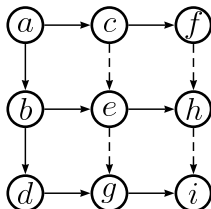
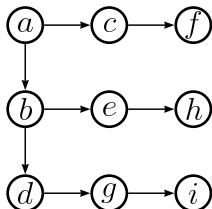
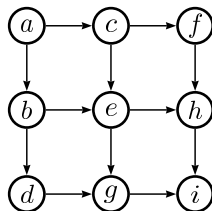


# Directed acyclic graph and linear notation

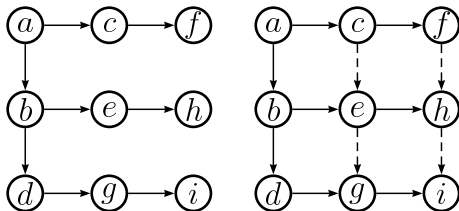


# Directed acyclic graph and linear notation

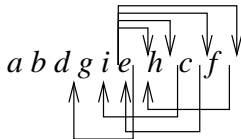
<i>a</i>	<i>c</i>	<i>f</i>
<i>b</i>	<i>e</i>	<i>h</i>
<i>d</i>	<i>g</i>	<i>i</i>



# Directed acyclic graph and linear notation



$\text{pref}(\text{tree}(T)) = \text{abdgiehcf}$



# References



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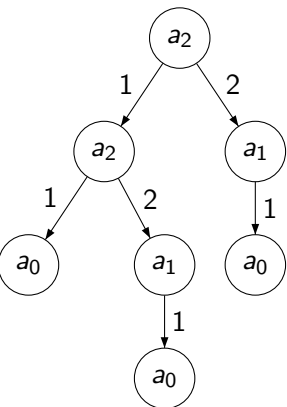


# Stringology and Arbology

Every sequential algorithm must do some linearisation of a tree.

Parallel algorithm must do some linearisation of a tree “per partes”.

# Linear notations of trees

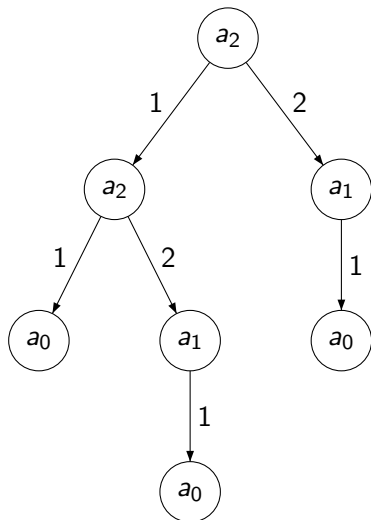


prefix	$\underline{\underline{a_2 \ a_2 \ a_0 \ \underline{a_1 \ a_0} \ \underline{a_1 \ a_0}}}$
postfix	$\underline{\underline{a_0 \ \underline{a_0 \ a_1} \ a_2 \ \underline{a_0 \ a_1} \ a_2}}$
Euler	$\underline{\underline{a_2 \ \underline{a_2 \ a_0 \ a_2} \ \underline{a_1 \ a_0 \ a_1} \ a_2 \ a_2 \ \underline{a_1 \ a_0 \ a_1} \ a_2}}$
bracketted	$\underline{\underline{[ \ a_2 \ [ \ a_2 \ [ \ a_0 \ ] \ [ \ a_1 \ [ \ a_0 \ ] \ ] \ ] \ ] \ [ \ a_1 \ [ \ a_0 \ ] \ ] \ ] \ ]}}$
prefix	
bar	$\underline{\underline{a_2 \ a_2 \ a_0 \   \ \underline{a_1 \ a_0} \   \   \   \ \underline{a_1 \ a_0} \   \   \  }}$
prefix	
bracketted	$\underline{\underline{[ [ [ \ a_0 \ ] \ [ [ \ a_0 \ ] \ a_1 \ ] \ a_2 \ ] \ [ [ \ a_0 \ ] \ a_1 \ ] \ a_2 \ ] \ ]}}$
postfix	
bar	$\underline{\underline{    \ a_0 \    \ \underline{a_0 \ a_1 \ a_2} \    \ \underline{a_0 \ a_1 \ a_2}}}$
postfix	

It holds that subtrees in a linear notation are substrings of the tree in the linear notation. This implies analogous pushdown automata for all such linear notations.

# Tree – the simplest case

- Rooted
- Oriented
- Ordered
- Ranked



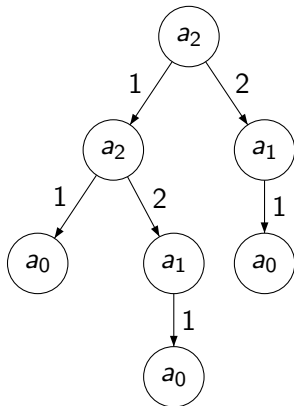
## Prefix notation

Grammar for tree with nodes having rank 0, 1, 2:

- (1)  $S \rightarrow a_0$
- (2)  $S \rightarrow a_1 S$
- (3)  $S \rightarrow a_2 S S$

(Greibach normal form,  
simple LL(1) grammar,  
LR(0) grammar)

Example:  $a_2$   $a_2$   $a_0$   $a_1$   $a_0$   $a_1$   $a_0$



Given a tree  $t$  and its prefix notation  $pref(t)$ , all subtrees of  $t$  in prefix notation are substrings of  $pref(t)$ .

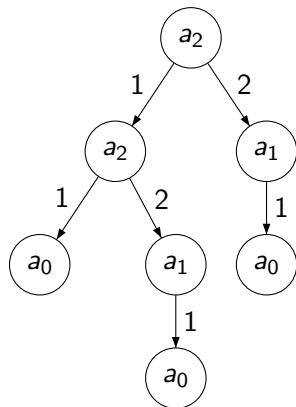
# Postfix notation

Grammar for tree with nodes having rank 0, 1, 2:

- (1)  $S \rightarrow a_0$
- (2)  $S \rightarrow S a_1$
- (3)  $S \rightarrow S S a_2$

(Reversed Greibach normal form, strong LR(1) grammar)

Example:  $a_0$   $a_0 a_1$   $a_2 a_0 a_1 a_2$



Given a tree  $t$  and its postfix notation  $post(t)$ , all subtrees of  $t$  in postfix notation are substrings of  $post(t)$ .

# Well known principles

- Scanning of tree
- Covering of tree
- Construction of tree
- Target program generation

recursive procedures

}  
context-free parsing  
(top down, bottom up)

Pushdown automata

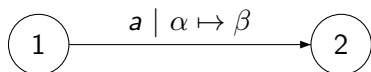
## Stringology

Basic tool: Finite automata

## Arbology

Basic tool: (Deterministic) Pushdown automata

# Pushdown automaton – notation

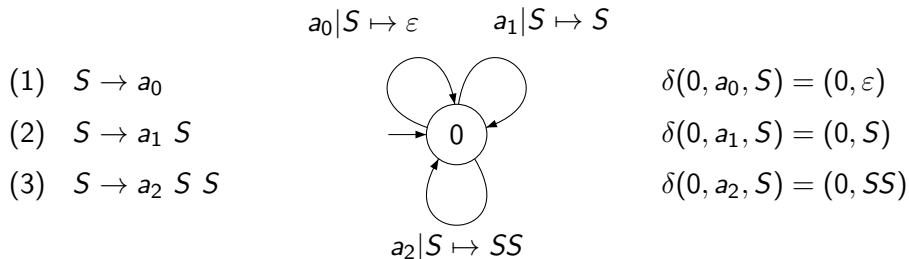


transition from state 1 to state 2

reading  $a$ , pop  $\alpha$ , push  $\beta$



## Basic deterministic pushdown automaton for prefix notation of tree with nodes having rank 0, 1, 2:



$$\delta(q, a, S) = \{(q, \alpha) : S \rightarrow a\alpha \in P\}$$

Accept by empty pushdown store.

# Basic deterministic pushdown automata for tree with nodes having rank $0, 1, 2, \dots, n$ :

Prefix notation:

$$(i + 1) \ S \rightarrow a_i S^i$$

where  
 $i = 0, 1, 2, \dots, n$ .



$$\delta(0, a_i, S) = (0, S^i)$$

Postfix notation:

$$(i + 1) \ S \rightarrow S^i a_i$$

where  
 $i = 0, 1, 2, \dots, n$ .



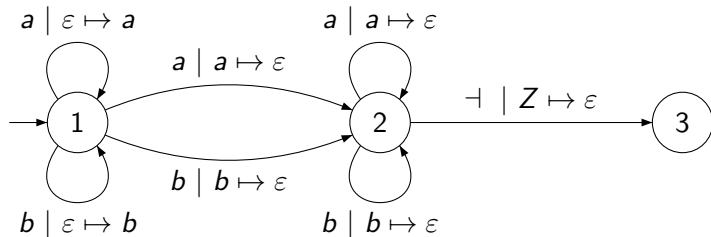
$$\delta(0, a_i, S^i) = (0, S)$$

$$S^0 = \varepsilon$$

Accept by empty pushdown store.

## Determinisation of pushdown automata

Not always possible:  $L = \{w w^R \dagger : w \in \{a, b\}^+\}$



Determinisation is possible for:

1. Input-driven pushdown automata
2. Visibly pushdown automata
3. Height-deterministic pushdown automata

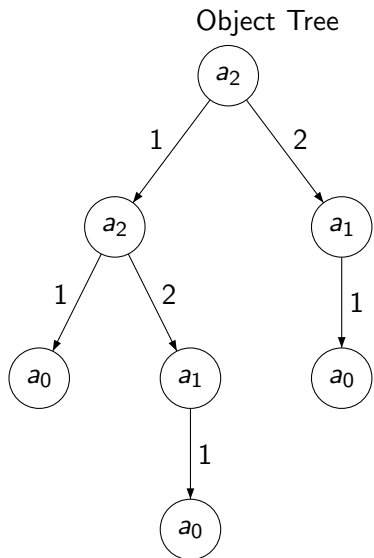
# Determinisation of input-driven PDA

**Input-driven PDA** – pushdown store operations are determined by the input symbol.

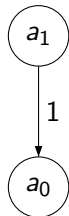
Any nondeterministic input-driven PDA can be determinised similarly as in the case of finite automata – the states of the deterministic PDA correspond to subsets of states of the nondeterministic PDA (d-subsets).

Moreover, nondeterministic acyclic input-driven PDA – the contents of the pushdown store can be precomputed, and only transitions and states with possible pushdown operations are selected.

# Tree pattern matching



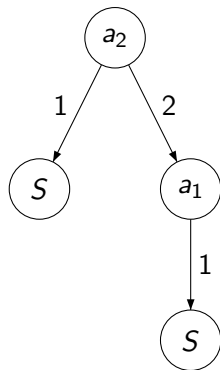
Subtree



Prefix notation

$a_1 a_0$

Tree template



Prefix notation

$a_2 S a_1 S$

## 2. Subtree and tree pattern pushdown automata – Indexing trees

## Stringology

### String suffix and factor automata.

Properties:

- 1 Accept all occurrences of an input suffix and an input factor, respectively, in a text of size  $n$ .
- 2 Search phase for all occurrences of an input suffix or an input factor of size  $m$  in time  $\mathcal{O}(m)$ , and not depending on  $n$ .
- 3 Although the number of factors in the text is  $\mathcal{O}(n^2)$ , the total size of the deterministic factor automaton is  $\mathcal{O}(n)$ .

# Motivation

## Arbology

**Subtree and tree pattern pushdown automata** – analogous to string suffix and factor automata.

Properties:

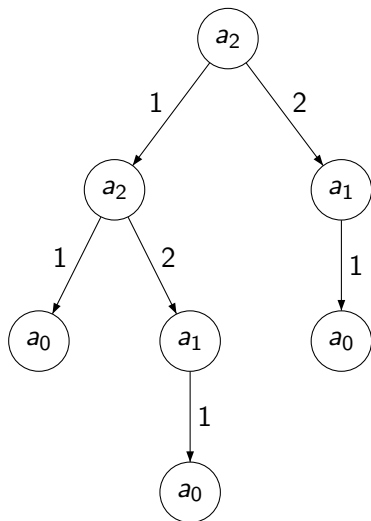
- 1 Accept all occurrences of an input subtree and of subtrees matching an input tree pattern, respectively, in a tree of size  $n$ .
- 2 Search phase for all occurrences of an input subtree or an input tree pattern of size  $m$  in time  $\mathcal{O}(m)$ , and not depending on  $n$ .
- 3 Although the number of tree patterns matching the tree can be  $\mathcal{O}(2^n)$ , the total size of the deterministic tree pattern pushdown automaton is in specific cases  $\mathcal{O}(n)$ . This total size generally is an open question – we guess it is  $\mathcal{O}(n^2)$ .



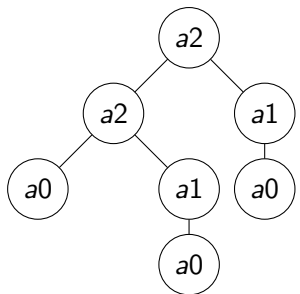
# Subtree PDA

## Example 1

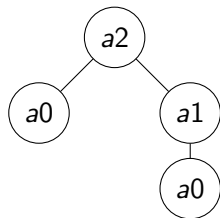
- Ranked alphabet  
 $\mathcal{A} = \{a_2, a_1, a_0\}$
- Tree  $t_1$   
prefix notation is  
 $pref(t_1) =$   
 $a_2 a_2 a_0 a_1 a_0 a_1 a_0$
- Different subtrees of  $t_1$   
in prefix notation are:
  - 1  $a_2 a_2 a_0 a_1 a_0 a_1 a_0$
  - 2  $a_2 a_0 a_1 a_0$
  - 3  $a_1 a_0$
  - 4  $a_0$



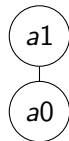
## All subtrees of tree $t_1$ and their prefix notation



$a2\ a2\ a0\ a1\ a0\ a1\ a0$



$a2\ a0\ a1\ a0$

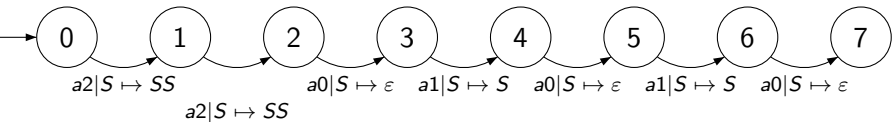


$a1\ a0$



$a0$

Transition diagram of deterministic PDA  $M_p(t_1)$  accepting  $\text{pref}(t_1) = a2 a2 a0 a1 a0 a1 a0$  by empty pushdown store



Initial contents of pushdown store is  $S$ .

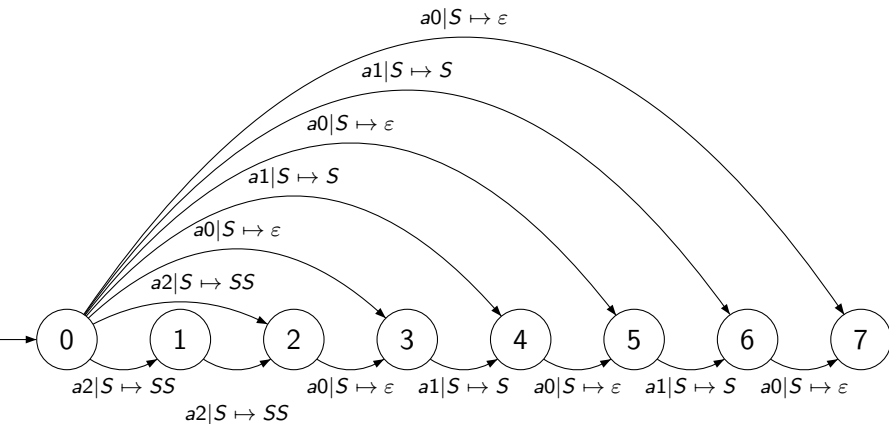
# Trace of deterministic PDA $M_p(t_1)$ for input string $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$

State	Pushdown Store	Input
0	$S$	$a2 a2 a0 a1 a0 a1 a0$
1	$S S$	$a2 a0 a1 a0 a1 a0$
2	$S S S$	$a0 a1 a0 a1 a0$
3	$S S$	$a1 a0 a1 a0$
4	$S S$	$a0 a1 a0$
5	$S$	$a1 a0$
6	$S$	$a0$
7	$\varepsilon$	$\varepsilon$

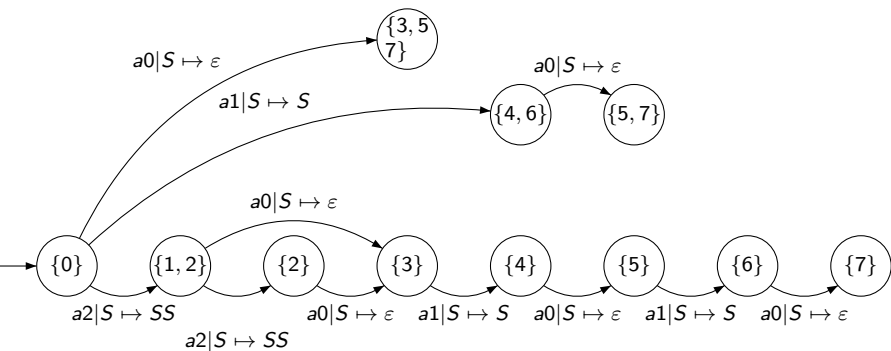
accept

accept by empty pushdown store

Nondeterministic subtree PDA  $M_{nps}(t_1)$  for tree  $t_1$  in prefix notation  $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$  (input-driven PDA)



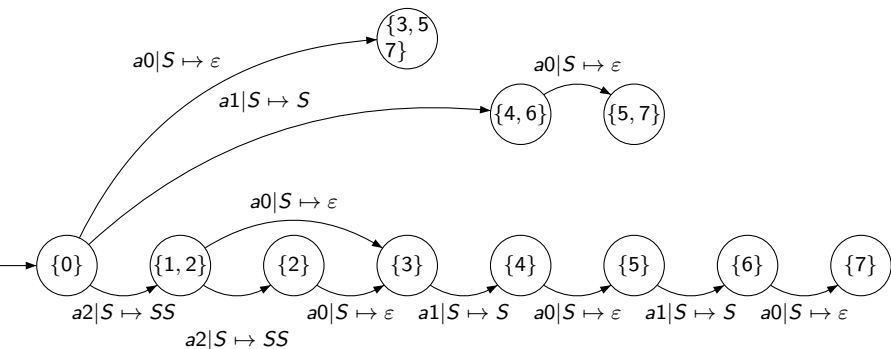
Deterministic subtree PDA  $M_{dps}(t_1)$  for tree in prefix notation  $pref(t_1) = a_2 a_2 a_0 a_1 a_0 a_1 a_0$



Given a tree  $t$  with  $n$  nodes and its prefix notation  $pref(t)$ , the total size of the deterministic subtree PDA  $M_{dps}(t)$  is  $\mathcal{O}(n)$ . As for the case of the string factor automaton.

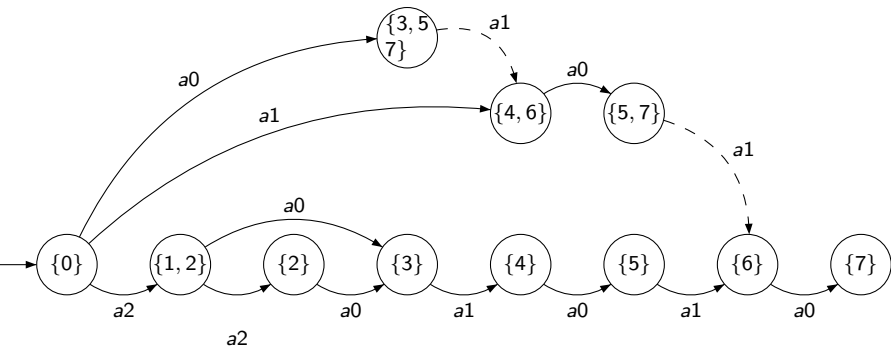
# Deterministic subtree PDA $M_{dps}(t_1)$ vs. deterministic string factor automaton

Deterministic subtree PDA  $M_{dps}(t_1)$



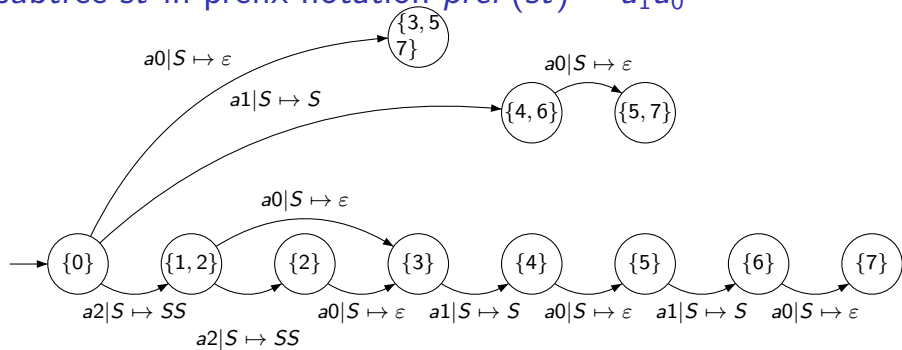
# Deterministic subtree PDA $M_{dps}(t_1)$ vs. deterministic string factor automaton

Deterministic string factor automaton





# Trace of deterministic subtree PDA $M_{dps}(t_1)$ for an input subtree $st$ in prefix notation $pref(st) = a_1 a_0$



State	PDS	Input
$\{0\}$	$S$	$a_1 a_0$
$\{4,6\}$	$S$	$a_0$
$\{5,7\}$	$\epsilon$	$\epsilon$
accept		

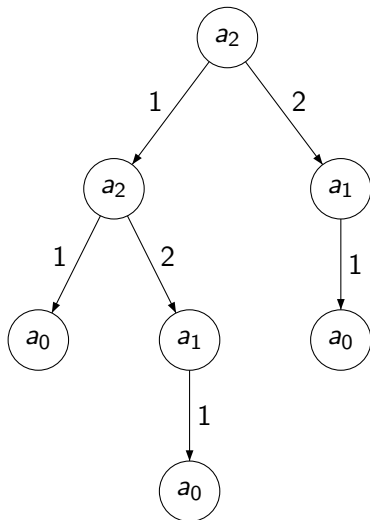
Input subtree

```

a1
 |
a0
    
```

# Tree pattern PDA

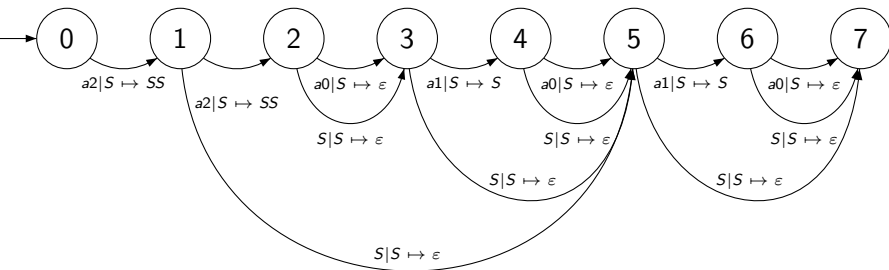
List of some treetops of the tree



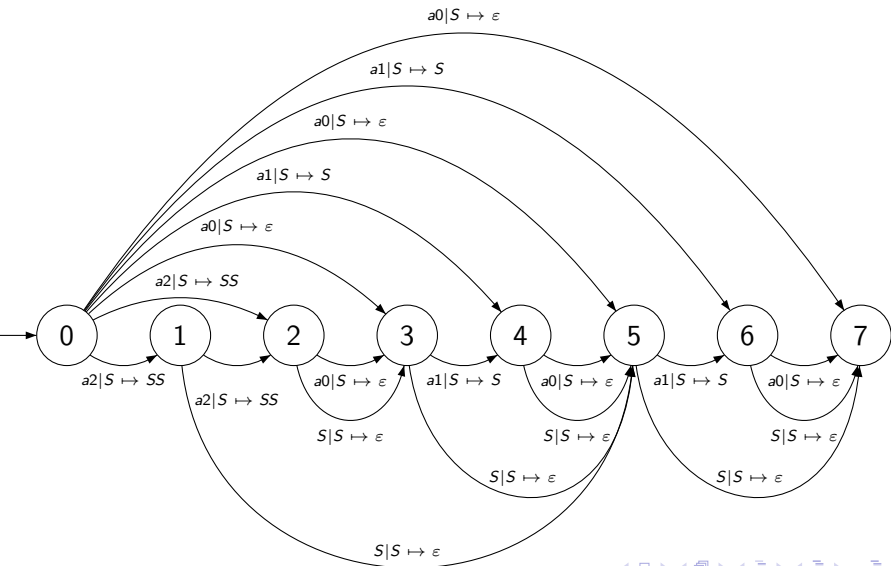
$a_2 S S$   
 $a_2 a_2 S S S$   
 $a_2 a_2 a_0 S S$   
 $a_2 a_2 a_0 a_1 S S$   
 $a_2 a_2 a_0 a_1 a_0 S$   
 $a_2 a_2 a_0 a_1 a_0 a_1 S$   
 $a_2 a_2 a_0 a_1 a_0 a_1 a_0$   
 $a_2 S a_1 S$   
 $a_2 S a_1 a_0$   
 $a_2 a_2 S S a_1 S$   
.  
.  
.

# Deterministic treetop PDA $M_{pt}(t_1)$ for $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$

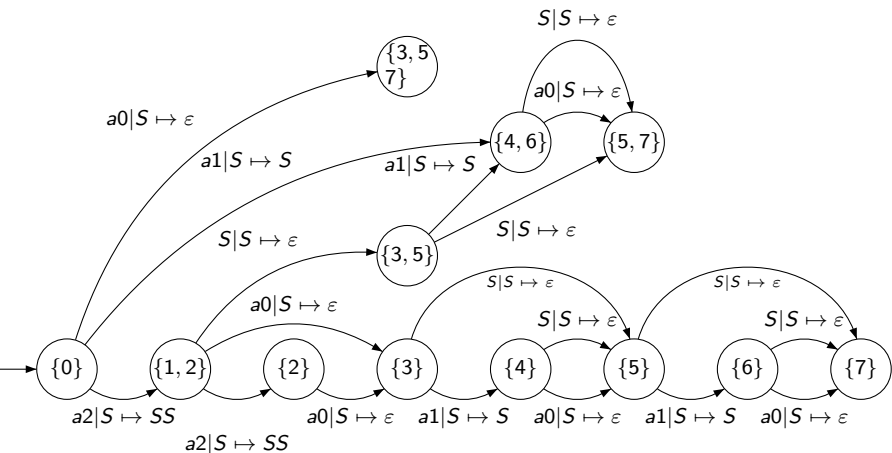
$srms = \{3, 5, 7\}$  Set of the Right-Most States



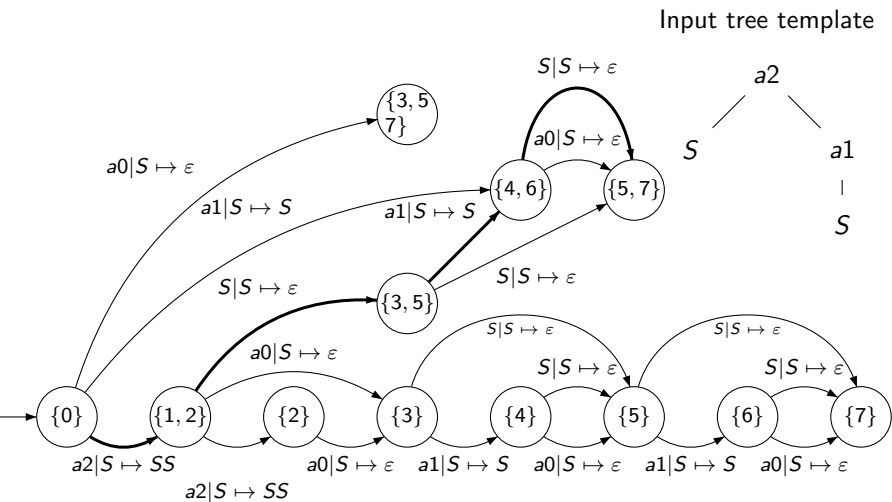
# Nondeterministic tree pattern PDA $M_{npg}(t_1)$ for $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$



Deterministic tree pattern PDA  $M_{dpg}(t_1)$  for tree  $t_1$  in prefix notation  $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$



# Trace of deterministic PDA $M_{dpg}$ for prefix notation of tree pattern $a_2 S a_1 S$



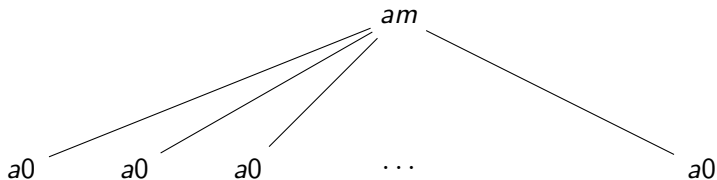
# Complexity

Given a tree  $t$  with  $n$  nodes and its prefix notation  $pref(t)$ , the number of distinct tree templates matching the tree is less or equal  $2^{n-1}$ .

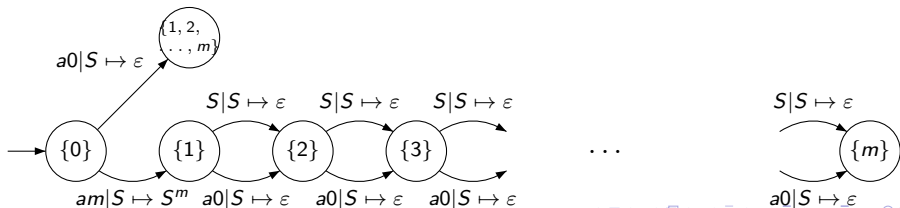
The total size of the deterministic tree pattern PDA  $M_{dpg}(t)$  is in specific cases  $\mathcal{O}(n)$ . The total size generally is an open question – we guess it is  $\mathcal{O}(n^2)$ .

## Example 2

tree  $t_2$ ,  $\text{pref}(t_2) = am a0^m$



Deterministic tree pattern PDA for  $\text{pref}(t_2)$ :





## Example 3

tree  $t_3$ ,  $\text{pref}(t_3) = a1^{m-1} a0$

$a1$

|

$a1$

|

$a1$

|

$\vdots$

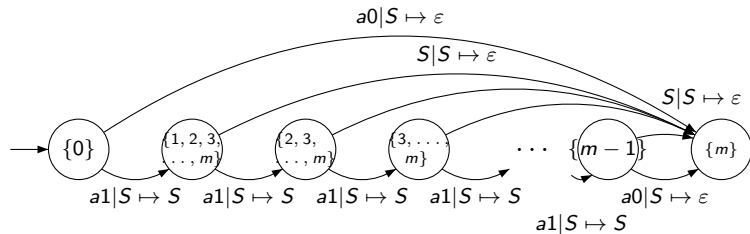
|

$a1$

|

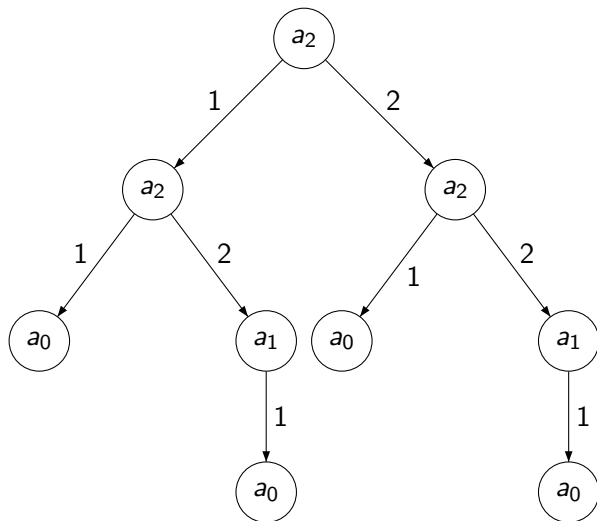
$a0$

Deterministic tree pattern PDA for  $\text{pref}(t_3)$ :



### 3. Repeats in Trees

## Subtree repeats

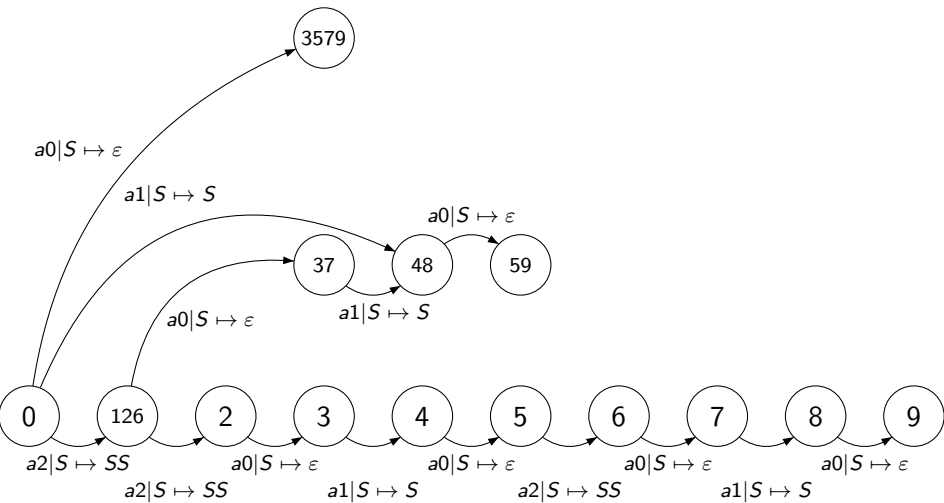


**prefix notation**

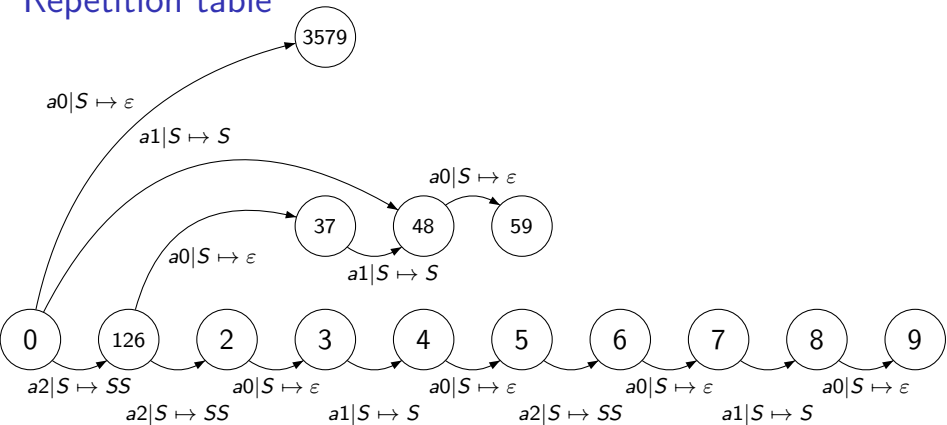
$a_2 a_2 a_0 a_1 a_0 a_2 a_0 a_1 a_0$



# Deterministic subtree PDA $M_{dps}(t_1)$ for $pref(t_1) = a2 a2 a0 a1 a0 a2 a0 a1a0$



# Repetition table

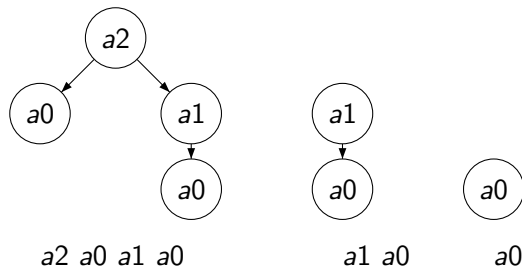


d-subset	Subtree	List of repeats
3579	$a_0$	$(3, F), (5, G), (7, G), (9, G)$
59	$a_1 a_0$ $a_2 a_0 a_1 a_0$	$(5, F), (9, G)$ $(5, F), (9, N)$

*F* first  
*G* gap  
*N* neighbour

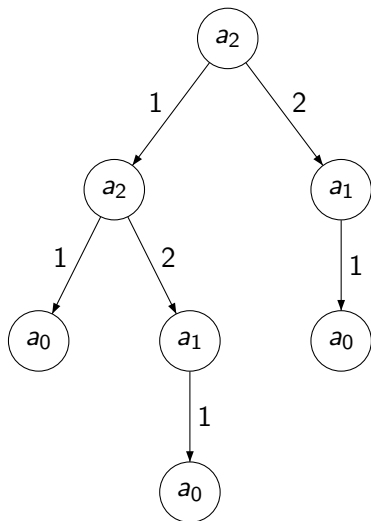
## Repetition table

d-subset	Subtree	List of repeats
3579	$a_0$	$(3, F), (5, G), (7, G), (9, G)$
59	$a_1 a_0$	$(5, F), (9, G)$
	$a_2 a_0 a_1 a_0$	$(5, F), (9, N)$



Overlapping is not possible, which follows from the basic property of tree!

## Tree pattern repeats



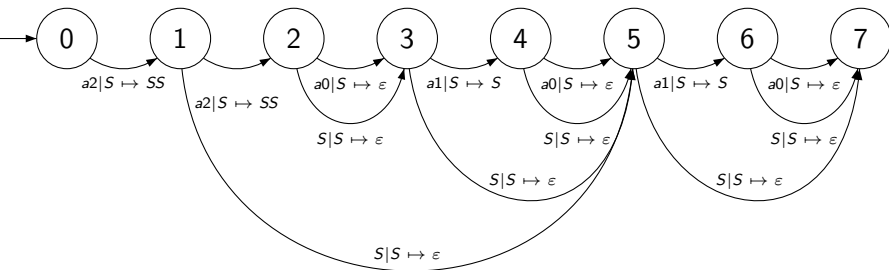
### **PREFIX NOTATION**

*a2 a2 a0 a1 a0 a1 a0*

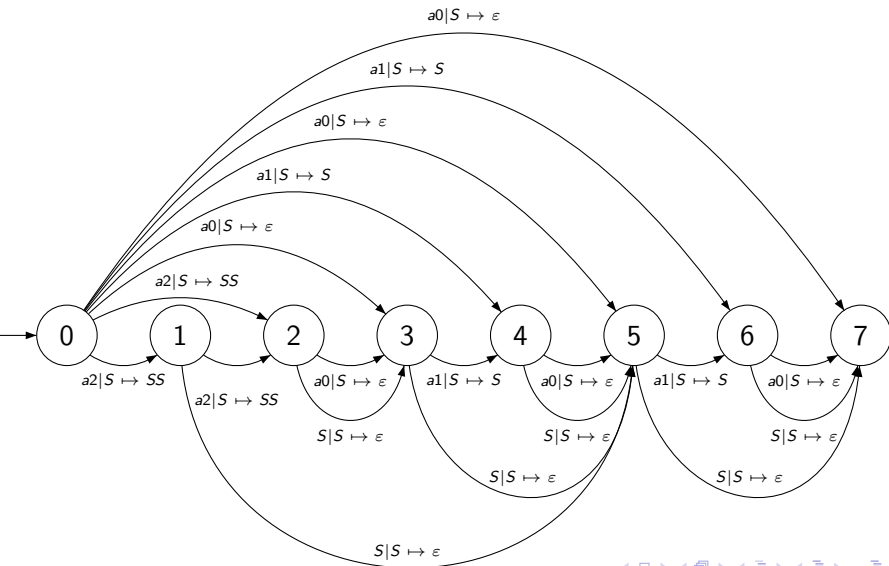


# Deterministic treetop PDA $M_{pt}(t_1)$ for $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$

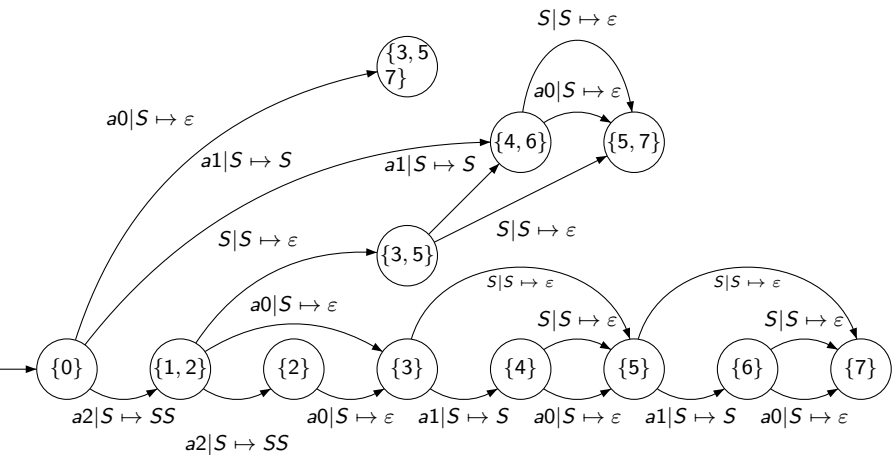
$srms = \{3, 5, 7\}$  Set of the Right-Most States



# Nondeterministic tree pattern PDA $M_{npg}(t_1)$ for $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$ (input-driven PDA)



# Deterministic tree pattern PDA $M_{dpg}(t_1)$ for $pref(t_1) = a2 a2 a0 a1 a0 a1 a0$



# Repetition table

d-subset	Subtree	List of repeats
357	$a_0$	$(3, F), (5, G), (7, G)$
57	$a_1 a_0$	$(5, F), (7, G)$
	$a_1 S$	$(5, F), (7, G)$
	$a_2 SS$	$(5, F), (7, O)$
	$a_2 S a_1 S$	$(5, F), (7, O)$
	$a_2 S a_1 a_0$	$(5, F), (7, O)$

$F$  first

$G$  gap

$N$  neighbour

$O$  overlapping (inclusion)

# Complexity

$$\mathcal{O}(n + r)$$

$n$  the number of nodes of the tree

$r$  the total size of repeating parts (subtrees, templates) of the tree (the size of repetition table)

$$r = \sum_p (rp * nr)$$

$r$  is the total size of all pathes from the initial state to states with multiple subsets.

$rp$  size of repeating part

$nr$  number of repeats (size of d-subsets)

$p$  pathes

## 4. Tree pattern matching

# Types of patterns

- EXACT PATTERNS

$$P = a_{x_1} a_{x_2} \dots a_{x_n}$$

EXAMPLE:  $a_2 a_1 a_0 a_0$

- PATTERNS HAVING SUBTREES (TREE TEMPLATES)

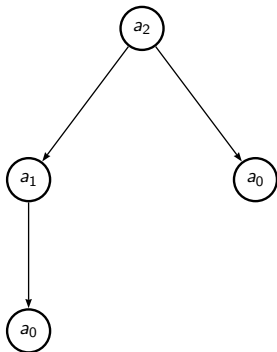
$$P = a_{x_1} \dots a_{x_{k_1}} S^{p_1} a_{x_{k_1+1}} \dots a_{x_{k_m}} S^{p_m} a_{x_{k_m+1}} \dots a_{x_n}$$

EXAMPLE 1:  $a_2 a_1 S a_0$

EXAMPLE 2:  $a_3 S S a_2 S a_0$

# Exact pattern

EXAMPLE:



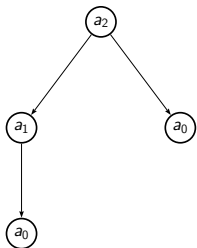
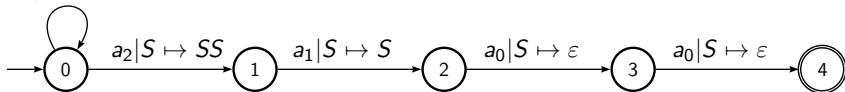
$$P = a_2 a_1 a_0 a_0$$



## Exact pattern

# NON-DETERMINISTIC SEARCHING PUSHDOWN AUTOMATON

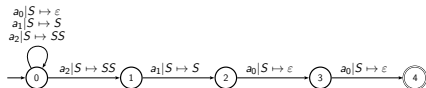
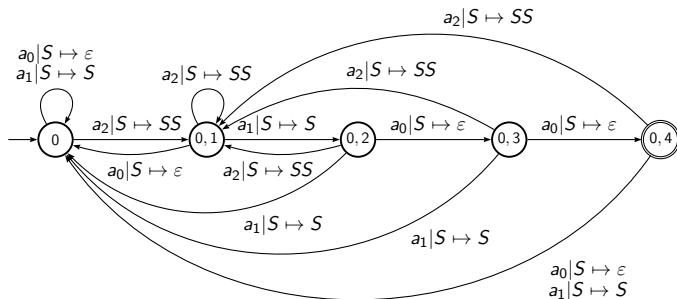
$a_0|S \mapsto \varepsilon$   
 $a_1|S \mapsto S$   
 $a_2|S \mapsto SS$



$$P = a_2 a_1 a_0 a_0$$

## Exact pattern

# DETERMINISTIC SEARCHING PUSHDOWN AUTOMATON



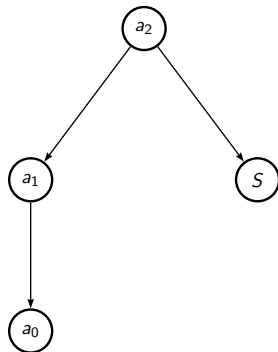
$$P = a_2 a_1 a_0 a_0$$

# Tree template

## EXAMPLE

TEMPLATE IN POSTFIX  
NOTATION:

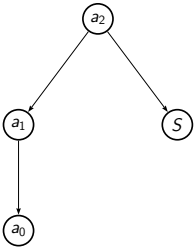
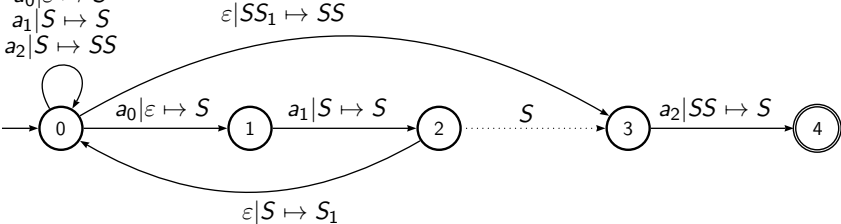
$$P = a_0 a_1 S a_2$$



# Tree template

## NON-DETERMINISTIC SEARCHING PUSHDOWN AUTOMATON

$a_0 | \varepsilon \mapsto S$   
 $a_1 | S \mapsto S$   
 $a_2 | S \mapsto SS$

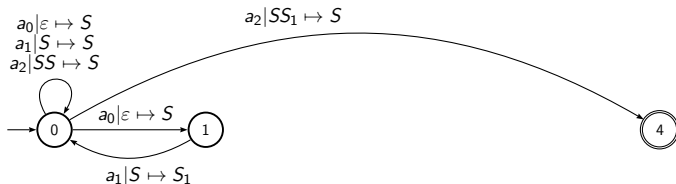
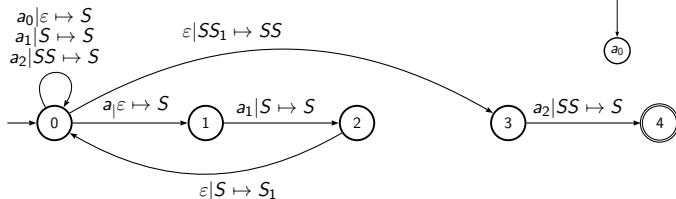
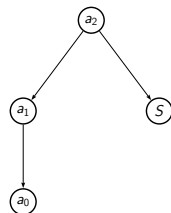


$$P = a_2 a_1 S a_0$$

# Tree template

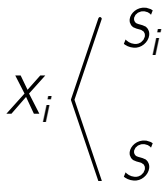
## ELIMINATION OF $\varepsilon$ -TRANSITIONS

PATTERN  $P = a_0 a_1 S a_2$



# Tree template

USAGE OF NEW PUSHDOWN SYMBOLS...

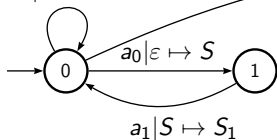


...SIMULATING THE TWO CASES.

# Tree template

$a_0 | \varepsilon \mapsto S$   
 $a_1 | S \mapsto S$   
 $a_2 | SS \mapsto S$

PATTERN  $P = a_0 a_1 S a_2$



	$a_0$	$a_1$	$a_2$
0	$0   \varepsilon \mapsto S$ $1   \varepsilon \mapsto S$	$0   S \mapsto S$	$0   SS \mapsto S$ $4   SS_1 \mapsto S$
1		$0   S \mapsto S_1$	
4			

	$a_0$	$a_1$	$a_2$
[0]	$[0, 1]   \varepsilon \mapsto S$	$[0]   S \mapsto S$ $[0]   X_1 \mapsto S$	$[0]   SS \mapsto S$ $[0, 4]   SX_1 \mapsto S$ $[0]   X_1 S \mapsto S$ $[0, 4]   X_1 X_1 \mapsto S$
[0, 1]	$[0, 1]   \varepsilon \mapsto S$	$[0]   S \mapsto X_1$ $[0]   X_1 \mapsto X_1$	$[0]   SS \mapsto S$ $[0, 4]   SX_1 \mapsto S$ $[0]   X_1 S \mapsto S$ $[0, 4]   X_1 X_1 \mapsto S$
[0, 4]	$[0, 1]   \varepsilon \mapsto S$	$[0]   S \mapsto S$ $[0]   X_1 \mapsto S$	$[0]   SS \mapsto S$ $[0, 4]   SX_1 \mapsto S$ $[0]   X_1 S \mapsto S$ $[0, 4]   X_1 X_1 \mapsto S$

# Tree template

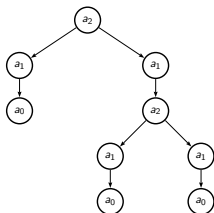
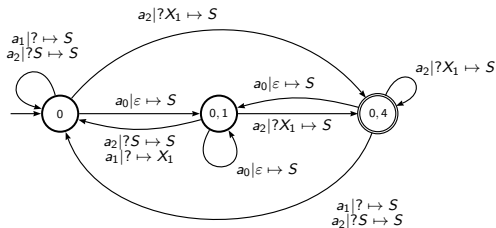
## SIMPLIFICATION

	$a_0$	$a_1$	$a_2$
[0]	$[0, 1] \varepsilon \mapsto S$	$[0] S \mapsto S$ $[0] X_1 \mapsto S$	$[0] SS \mapsto S$ $[0, 4] SX_1 \mapsto S$ $[0] X_1S \mapsto S$ $[0, 4] X_1X_1 \mapsto S$
[0, 1]	$[0, 1] \varepsilon \mapsto S$	$[0] S \mapsto X_1$ $[0] X_1 \mapsto X_1$	$[0] SS \mapsto S$ $[0, 4] SX_1 \mapsto S$ $[0] X_1S \mapsto S$ $[0, 4] X_1X_1 \mapsto S$
[0, 4]	$[0, 1] \varepsilon \mapsto S$	$[0] S \mapsto S$ $[0] X_1 \mapsto S$	$[0] SS \mapsto S$ $[0, 4] SX_1 \mapsto S$ $[0] X_1S \mapsto S$ $[0, 4] X_1X_1 \mapsto S$

	$a_0$	$a_1$	$a_2$
[0]	$[0, 1] \varepsilon \mapsto S$	$[0] ? \mapsto S$	$[0] ?S \mapsto S$ $[0, 4] ?X_1 \mapsto S$
[0, 1]	$[0, 1] \varepsilon \mapsto S$	$[0] ? \mapsto X_1$	$[0] ?S \mapsto S$ $[0, 4] ?X_1 \mapsto S$
[0, 4]	$[0, 1] \varepsilon \mapsto S$	$[0] ? \mapsto S$	$[0] ?S \mapsto S$ $[0, 4] ?X_1 \mapsto S$



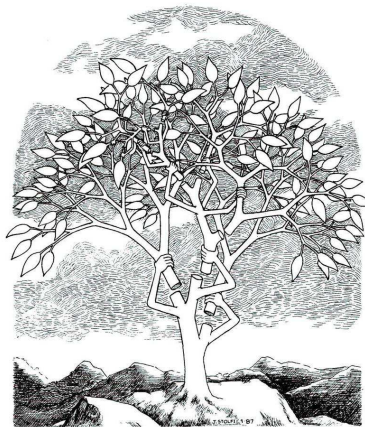
# Tree template



$P = a_0 a_1 S a_2$

$T = a_0 a_1 a_0 a_1 a_0 a_1 a_2 a_1 a_2$

PDS	State	Node	
$\epsilon$	[0]	$a_0$	
$S$	[0, 1]	$a_1$	
$X_1$	[0]	$a_0$	
$SX_1$	[0, 1]	$a_1$	
$X_1X_1$	[0]	$a_0$	
$SX_1X_1$	[0, 1]	$a_1$	
$X_1X_1X_1$	[0]	$a_2$	
$SX_1$	[0, 4]	$a_1$	match
$SX_1$	[0]	$a_2$	
$S$	[0, 4]		match



More information on web pages

<http://www.arbology.org>