

On expressive power of regular expressions with subroutine calls and lookaround assertions

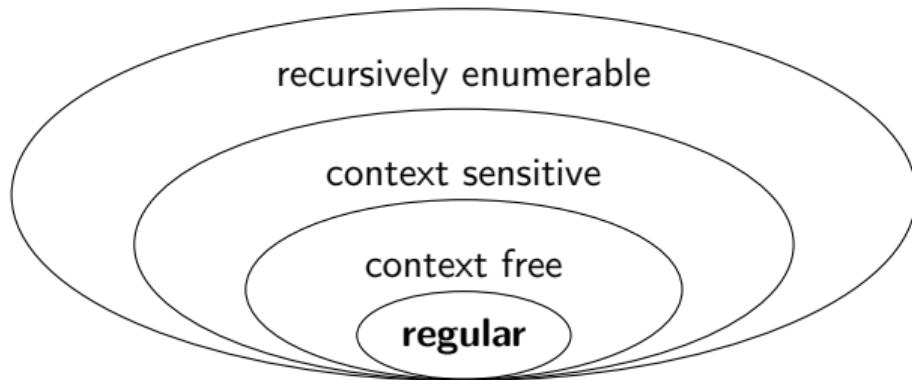
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Regular expressions¹ match regular languages²

Regular expression is the minimal set of operations to express regular language
 $(\text{my} + \varepsilon)(\text{great})^*\text{grandfather}$



¹Stephen Cole Kleene. "Representation of Events in Nerve Nets and Finite Automata". In: *Automata Studies*. (AM-34). Ed. by C. E. Shannon and J. McCarthy. Princeton University Press, Dec. 1956, pp. 3–42. ISBN: 978-1-4008-8261-8. DOI: 10.1515/9781400882618-002. (Visited on 08/20/2021).

²Noam Chomsky. "On Certain Formal Properties of Grammars". In: *Information and Control* (1959). ISSN: 00199958. DOI: 10.1016/S0019-9958(59)90362-6.

Practical “regular” expressions are different and have various flavours

perl
python
awk
sed
grep
pcre
re2

PCRE2: $a^n b^n$
 $(a(?1)?b)$

What language class can be expressed by a particular regex flavour?

?

Expressive power of certain combinations of features was already known

Character class, interval quantifier, concatenation, alternative, iteration: RLs

[Mm]y ((great){1,3}-grand)(father|mother)

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Zero-width lookahead assertions: RLs

girls? need((?<=s need)|(?<=1 need)s)

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Backreferences: some CSLs and not some CFLs

([a-z]{10})\1

Formalisation of regex: matching relation³

Other formalisms exist

Definition

A matching relation \rightsquigarrow is of the form $(r, x, i) \rightsquigarrow \mathcal{R}$ where $\mathcal{R} = \{i : i \in \mathbb{N} \wedge i \leq |x| + 1\}$ (matching result).

Definition

The language of a regex $r \in \mathbb{E}_{LS, \mathcal{A}, \mathcal{X}}$ is $L(r) = \{x : (r, x, 1) \rightsquigarrow \mathcal{R} \wedge |x| + 1 \in \mathcal{R}\}$.

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Example

Example (Lookahead)

$$\frac{a \in \mathcal{A} \wedge i \leq |x| \wedge x[i] = a}{(a, x, i) \rightsquigarrow \{i + 1\}}$$

$$\frac{(r, x, i) \rightsquigarrow \mathcal{R}}{((?=r), x, i) \rightsquigarrow \{i \wedge \mathcal{R} \neq \emptyset\}}$$

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Formalisation of subroutine calls

Definition (Numbered subroutine call)

$$\frac{(\sigma(l), \mathbf{x}, i) \rightsquigarrow \mathcal{R}}{((?l), \mathbf{x}, i) \rightsquigarrow \mathcal{R}}$$

Definition (Named subroutine call)

$$\frac{(\sigma(\nu(N)), \mathbf{x}, i) \rightsquigarrow \mathcal{R}}{((?P>N), \mathbf{x}, i) \rightsquigarrow \mathcal{R}}$$

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Example

$$\frac{\overline{\frac{\overline{\frac{\dots}{((?1) | \varepsilon)b, ab, 2) \rightsquigarrow \emptyset}}{((?1), ab, 2) \rightsquigarrow \emptyset} \quad \overline{(\varepsilon, ab, 2) \rightsquigarrow \{2\}}}{(a, ab, 1) \rightsquigarrow \{2\}} \quad \overline{\frac{(((?1) | \varepsilon), ab, 2) \rightsquigarrow \{2\}}{(b, ab, 2) \rightsquigarrow \{3\}}}}{((?(DEFINE)(?<S>a((?1) | \varepsilon)b), ab, 1) \rightsquigarrow \{1\}) \quad \overline{((?P>S), ab, 1) \rightsquigarrow \{3\}}}}{((?(DEFINE)(?<S>a((?1) | \varepsilon)b)(?P>S), ab, 1) \rightsquigarrow \{3\})}$$

Example (Context-free languages)

$\{a^n b^n\}$, $\{ww^R\}$

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Definition (Context-free grammar)

A quadruple (V, A, R, S) where every member of R is in the form of
 $N \rightarrow v, v \in (A \cup V)^*$.

Definition (Derivation step in CFG)

If $v_1 \rightarrow v_2 \in R$ then

$p v_1 s \Rightarrow p v_2 s$ is possible.

Definition (Language generated by grammar)

$\{x \in A^* : S \Rightarrow^* x\}$

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$$(\{S\}, \{a, b\}, \{S \rightarrow aSb \mid \varepsilon\}, S)$$

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$$(\{S\}, \{a, b\}, \{S \rightarrow aSb \mid \varepsilon\}, S)$$

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aa\varepsilon bb$$

Every context-free grammar can be expressed by a regex with subroutine calls

Conversion of a CFG to an equivalent regex

$$(\{S\}, \{a, b\}, \{S \rightarrow aSb \mid \varepsilon\}, S)$$

1. $r_1 = rx(aSb) = a(?P>S)b$

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Every regex with concatenation, alternative, and subroutine call can be expressed by a context-free grammar

Conversion of a regex to an equivalent CFG

$$(\text{?P>N})\text{a}((\text{?(DEFINE})(\text{?<N>}\varepsilon \mid \text{b}(\text{?P>N})\text{b} \mid \text{c}(\text{?P>N})\text{c}))$$

► $\mathfrak{G}_\varepsilon = (\{S_\varepsilon\}, \{a, b, c\}, \{S_\varepsilon \rightarrow \varepsilon\}, S_\varepsilon)$, $\mathfrak{G}_a = (\{S_a\}, \{a, b, c\}, \{S_a \rightarrow a\}, S_a) \dots$

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- ▶ after constructing grammars for elementary expressions. . .

Every regex with concatenation, alternative, and subroutine call can be expressed by a context-free grammar

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$(?P>N)a(?(DEFINE)(?<N>\varepsilon \mid b(?P>N)b \mid c(?P>N)c))$

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- ▶ $\mathfrak{G}_{(?P>N)} = (\{S_{(?P>N)}, N\}, \{a, b, c\}, \{S_{(?P>N)} \rightarrow N\}, S_{(?P>N)})$
- ▶ after constructing grammars for elementary expressions...
- ▶ $\mathfrak{G}_b(?P>N) = (\mathcal{V}_b \cup \mathcal{V}_{(?P>N)} \cup \{S_b(?P>N)\}, \{a, b, c\}, \mathcal{R}_b \cup \mathcal{R}_{(?P>N)} \cup \{S_b(?P>N) \rightarrow S_b S_{(?P>N)}\}, S_b(?P>N)) : S_b(?P>N) \notin \mathcal{V}_b \cup \mathcal{V}_{(?P>N)} \dots$

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- ▶ $\mathfrak{G}_{\varepsilon|b(\text{?P>N})b} = (\mathcal{V}_\varepsilon \cup \mathcal{V}_{b(\text{?P>N})b} \cup \{S_{\varepsilon|b(\text{?P>N})b}\}, \{a, b, c\}, \mathcal{R}_\varepsilon \cup \mathcal{R}_{b(\text{?P>N})b} \cup \{S_{\varepsilon|b(\text{?P>N})b} \rightarrow S_\varepsilon \mid S_{b(\text{?P>N})b}\}, S_{\varepsilon|b(\text{?P>N})b}) : S_{\varepsilon|b(\text{?P>N})b} \notin \mathcal{V}_\varepsilon \cup \mathcal{V}_{b(\text{?P>N})b}$
- ▶ ...

Thus it is shown that subroutine calls match exactly context-free languages

Theorem

$$\mathbb{L}_{E_S} = \mathbb{L}_{CF}$$

Adding lookahead to a regex with subroutine calls extends its expressive power

Theorem

$$\mathbb{L}_{E_S} \subsetneq \mathbb{L}_{E_{LS}}$$

Proof.

$$\{a^g b^g c^g : g \in \mathbb{N}\} =$$

$$L((?= (?<N_1>\textcolor{red}{a}(\varepsilon \mid (?P>N_1))\textcolor{red}{b})\textcolor{brown}{c})aa^*(?<N_2>\textcolor{brown}{b}(\varepsilon \mid (?P>N_2))\textcolor{brown}{c}))$$



Summary

- ▶ expressive power of expressions with concatenation, alternative, and subroutine call is equivalent to the class of context-free languages
 - ▶ algorithms to convert between regex and context-free grammar
- ▶ expressive power of expressions with concatenation, alternative, subroutine call, and lookahead is beyond context-free languages

Future work: expressive power of regex with both subroutine call and lookaround.