

# OpenFst - a General and Efficient Weighted Finite-State Transducer Library

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## OpenFst Library

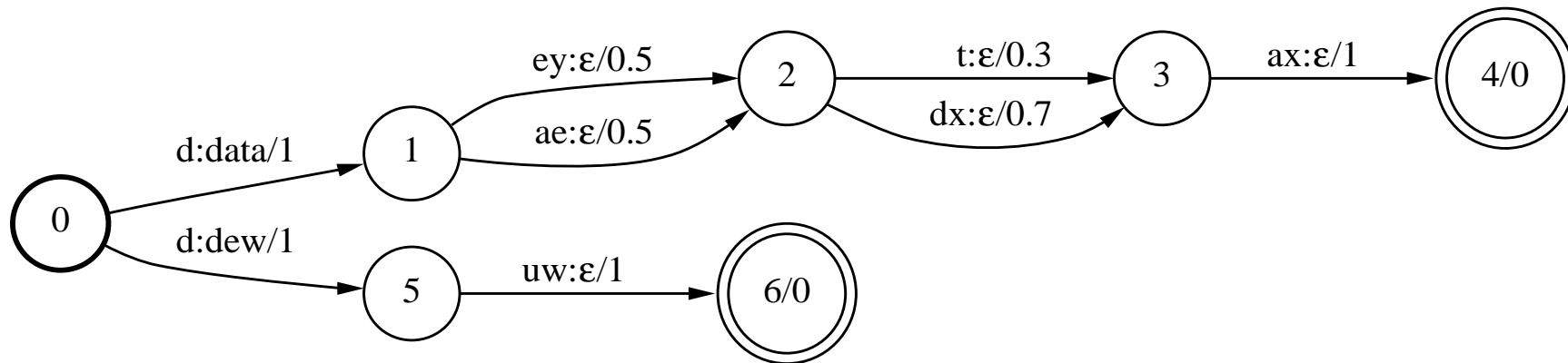
- C++ template library for constructing, combining, optimizing, and searching *weighted finite-states transducers (FSTs)*.
- **Goals:** Comprehensive, flexible, efficient and scale well to large problems.
- **Origins:** AT&T, merged efforts from Google and the NYU Courant Institute.
- **Documentation and Download:** <http://www.openfst.org>
- Released under the Apache license.
- Talk **is not** about new algorithms – uses previously published algorithms (many by the authors), but does discuss new simplified implementations of some of these algorithms.
- Talk **is** about a software library which we have found very useful and hope others do now that it is open-source.

## Current OpenFst Applications

- **Speech recognition (speech-to-text):** lexicons, language models, phonetic context-dependency, recognizer hypothesis sets.
- **Speech synthesis (text-to-speech):** text normalization, pronunciation models
- **Optical character recognition:** lexicons, language models
- **Information extraction:** pattern matching, text processing
- **Music identification:** ‘music phone’ lexicon

## Weighted Transducers

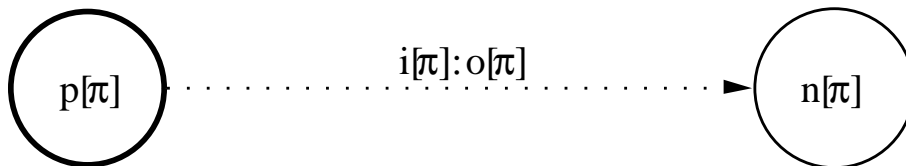
- Finite automata with input labels, output labels, and weights.
- **Example:** *Pronunciation lexicon transducer:*



## Definitions and Notation – Paths

- **Path  $\pi$**

- Origin or previous state:  $p[\pi]$ .
- Destination or next state:  $n[\pi]$ .
- Input label:  $i[\pi]$ .
- Output label:  $o[\pi]$ .



- **Sets of paths**

- $P(R_1, R_2)$ : set of all paths from  $R_1 \subseteq Q$  to  $R_2 \subseteq Q$ .
- $P(R_1, x, R_2)$ : paths in  $P(R_1, R_2)$  with input label  $x$ .
- $P(R_1, x, y, R_2)$ : paths in  $P(R_1, x, R_2)$  with output label  $y$ .

## Definitions and Notation – Transducers

- Alphabets: input  $\Sigma$ , output  $\Delta$ .
- States:  $Q$ , initial states  $I$ , final states  $F$ .
- Transitions:  $E \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times (\Delta \cup \{\epsilon\}) \times \mathbb{K} \times Q$ .
- Weight functions:
  - initial weight function  $\lambda : I \rightarrow \mathbb{K}$
  - final weight function  $\rho : F \rightarrow \mathbb{K}$ .
- Transducer  $T = (\Sigma, \Delta, Q, I, F, E, \lambda, \rho)$  with for all  $x \in \Sigma^*$ ,  $y \in \Delta^*$ :

$$\llbracket T \rrbracket(x, y) = \bigoplus_{\pi \in P(I, x, y, F)} \lambda(p[\pi]) \otimes w[\pi] \otimes \rho(n[\pi])$$

## Semirings

A *semiring*  $(\mathbb{K}, \oplus, \otimes, \bar{0}, \bar{1})$  = a ring that may lack negation.

- **Sum:** to compute the weight of a sequence (sum of the weights of the paths labeled with that sequence).
- **Product:** to compute the weight of a path (product of the weights of constituent transitions).

SEMIRING	SET	$\oplus$	$\otimes$	$\bar{0}$	$\bar{1}$
Boolean	$\{0, 1\}$	$\vee$	$\wedge$	0	1
Probability	$\mathbb{R}_+$	+	$\times$	0	1
Log	$\mathbb{R} \cup \{-\infty, +\infty\}$	$\oplus_{\log}$	+	$+\infty$	0
Tropical	$\mathbb{R} \cup \{-\infty, +\infty\}$	min	+	$+\infty$	0
String	$\Sigma^* \cup \{\infty\}$	lcp	$\cdot$	$\infty$	$\epsilon$

with  $\oplus_{\log}$  defined by:  $x \oplus_{\log} y = -\log(e^{-x} + e^{-y})$ .

# Finite-State Transducer Construction

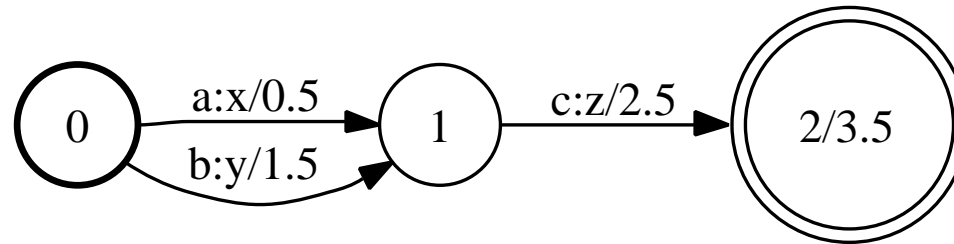
Input Methods:

- **Finite-state transducer:**
  - Textual transducer file representation
  - C++ code
  - Graphical user interface
- **Regular expressions**
- **“Context-free” rules**
- **“Context-dependent” rules**



# FST Textual File Representation

- **Graphical Representation** (T.ps):



- **Transducer File** (T.txt):

```
0 1 a x 0.5
0 1 b y 1.5
1 2 c z 2.5
2 3.5
```

- **Input Symbols File** (T.isyms):

a 1

b 2

c 3

- **Output Symbols File** (T.osyms):

x 1

y 2

z 3

## Compiling, Printing, Reading, and Writing FSTs

- **Compiling**

```
fstcompile -isymbols=T.isyms -osymbols=T.osyms T.txt T.fst
```

- **Printing**

```
fstprint -isymbols=T.isyms -osymbols=T.osyms T.fst >T.txt
```

- **Drawing**

```
fstdraw -isymbols=T.isyms -osymbols=T.osyms T.fst >T.dot
```

- **Reading**

```
Fst<Arc> *fst = Fst<Arc>::Read('T.fst')
```

- **Writing**

```
fst.Write('T.fst')
```

## C++ FST Construction

```
// A vector FST is a general mutable FST
VectorFst<StdArc> fst;

// Add state 0 to the initially empty FST and make it the start state
fst.AddState(); // 1st state will be state 0 (returned by AddState)
fst.SetStart(0); // arg is state ID

// Add two arcs exiting state 0
// Arc constructor args:  ilabel, olabel, weight, dest state ID
fst.AddArc(0, StdArc(1, 1, 0.5, 1)); // 1st arg is src state ID
fst.AddArc(0, StdArc(2, 2, 1.5, 1));

// Add state 1 and its arc
fst.AddState();
fst.AddArc(1, StdArc(3, 3, 2.5, 2));

// Add state 2 and set its final weight
fst.AddState();
fst.SetFinal(2, 3.5); // 1st arg is state ID, 2nd arg weight
```

## OpenFst Design: Arc (transition)

Labels and states may be any integral type; weights may be any class that forms a semiring:

```
struct StdArc {  
    typedef int Label;  
    typedef TropicalWeight Weight;  
    typedef int StateId;  
  
    Label ilabel;           // Transition input label  
    Label olabel;         // Transition output label  
    Weight weight;         // Transition weight  
    StateId nextstate;     // Transition destination state  
};
```

## OpenFst Design: Tropical Weight

A Weight class holds the set element and provides the semiring operations:

```
class TropicalWeight {
public:
    TropicalWeight(float f) : value_(f) {}
    static TropicalWeight Zero() { return TropicalWeight(kPositiveInfinity); }
    static TropicalWeight One() { return TropicalWeight(0.0); }
private:
    float value_;
};

TropicalWeight Plus(TropicalWeight x, TropicalWeight y) {
    return w1.value_ < w2.value_ ? w1 : w2;
};
```

## OpenFst Design: Product Weight

This template allows easily creating the product semiring from two (or more) semirings.

```
template <typename W1, typename W2>
class ProductWeight {
public:
    ProductWeight(W1 w1, W2 w2) : value1_(w1), value2_(w2) {}
    static ProductWeight Zero() { return ProductWeight(W1::Zero(), W2::Zero()); }
    static ProductWeight One() { return ProductWeight(W1::One(), W2::One()); }
private:
    float value1_;
    float value2_;
};
```

## Operation Implementation Types

- **Destructive:** Modifies input;  $O(|Q| + |E|)$ :  
`StdFst *input = StdFst::Read("input.fst");`  
`Invert(input);`
- **Constructive:** Writes to output;  $O(|Q| + |E|)$ :  
`StdFst *input = StdFst::Read("input.fst");`  
`StdVectorFst output;`  
`ShortestPath(input, &output);`
- **Lazy (or Delayed):** Creates new Fst;  $O(|Q_{visit}| + |E_{visit}|)$ :  
`StdFst *input = StdFst::Read("input.fst");`  
`StdFst *output = new StdInvertFst(input);`

Lazy implementations are useful in applications where the whole machine may not be visited, e.g. Dijkstra (positive weights), pruned search.



## Rational Operations

- Definitions**

OPERATION	DEFINITION
Union	$\llbracket T_1 \oplus T_2 \rrbracket(x, y) = \llbracket T_1 \rrbracket(x, y) \oplus \llbracket T_2 \rrbracket(x, y)$
Concat	$\llbracket T_1 \otimes T_2 \rrbracket(x, y) = \bigoplus_{x=x_1 x_2, y=y_1 y_2} \llbracket T_1 \rrbracket(x_1, y_1) \otimes \llbracket T_2 \rrbracket(x_2, y_2)$
Closure	$\llbracket T^* \rrbracket(x, y) = \bigoplus_{n=0}^{\infty} \llbracket T \rrbracket^n(x, y)$

- Implementations:** Lazy and non-lazy

## Elementary Unary Operations

- Definitions**

OPERATION	DEFINITION AND NOTATION	LAZY
Reverse	$\llbracket \tilde{T} \rrbracket(x, y) = \llbracket T \rrbracket(\tilde{x}, \tilde{y})$	No
Inverse	$\llbracket T^{-1} \rrbracket(x, y) = \llbracket T \rrbracket(y, x)$	Yes
Project	$\llbracket A \rrbracket(x) = \bigoplus_y \llbracket T \rrbracket(x, y)$	Yes

- Implementations:** Non-lazy, for lazy see table.

## Fundamental Binary Operations

- Definitions**

OPERATION	DEFINITION AND NOTATION	CONDITION
Compose	$\llbracket T_1 \circ T_2 \rrbracket(x, y) = \bigoplus_z \llbracket T_1 \rrbracket(x, z) \otimes \llbracket T_2 \rrbracket(z, y)$	$\mathbb{K}$ commutative
Intersect	$\llbracket A_1 \cap A_2 \rrbracket(x) = \llbracket A_1 \rrbracket(x) \otimes \llbracket A_2 \rrbracket(x)$	$\mathbb{K}$ commutative
Difference	$\llbracket A_1 - A_2 \rrbracket(x) = \llbracket A_1 \cap \overline{A_2} \rrbracket(x)$	$A_2$ unweighted & deterministic

- Implementations:** Non-lazy and lazy.

# Optimization Operations

- **Definitions**

OPERATION	DESCRIPTION	LAZY
Connect	Removes non-accessible/non-coaccessible states	No
RmEpsilon	Removes $\epsilon$ -transitions	Yes
Determinize	Creates equivalent deterministic transducer	Yes
Minimize	Creates equivalent minimal deterministic transducer	No

- **Conditions:** There are specific semiring conditions for the use of these algorithms. Not all weighted transducers can be determinized using that algorithm.
- **Implementations:** Non-lazy, for lazy see table.

## Normalization Operations

- **Definitions**

OPERATION	DESCRIPTION	LAZY
TopSort	Topologically sorts an acyclic transducer	No
ArcSort	Sorts state's arcs given an order relation	Yes
Push	Creates equivalent pushed/stochastic machine	No
EpsNormalize	Places input $\epsilon$ 's after non- $\epsilon$ 's on paths	No
Synchronize	Produces monotone epsilon delay	Yes

- **Implementations:** Non-lazy, for lazy see table.

## Search Operations

- **Definitions**

OPERATION	DESCRIPTION
ShortestPath	Finds n-shortest paths
ShortestDistance	Finds single-source shortest-distances
Prune	Prunes states and transitions by path weight

- **Implementations:** Non-lazy.

## Example: FST Application - Shell-Level

```
# The FSTs must be sorted along the dimensions they will be joined.  
# In fact, only one needs to be so sorted.  
# This could have instead been done for "model.fst" when it was  
created.  
$ fstarcsort --sort_type=olabel input.fst input_sorted.fst  
$ fstarcsort --sort_type=ilabel model.fst model_sorted.fst  
  
# Creates the composed FST  
$ fstcompose input_sorted.fst model_sorted.fst comp.fst  
  
# Just keeps the output label  
$ fstproject --project_output comp.fst result.fst  
  
# Do it all in a single command line  
$ fstarcsort --sort_type=ilabel model.fst |  
fstcompose input.fst - | fstproject --project_output result.fst
```

## Example: FST Application - C++

```
// Reads in an input FST.
StdFst *input = StdFst::Read("input.fst");

// Reads in the transduction model.
StdFst *model = StdFst::Read("model.fst");

// The FSTs must be sorted along the dimensions they will be joined.
// In fact, only one needs to be so sorted.
// This could have instead been done for "model.fst" when it was created.
ArcSort(input, StdOLabelCompare());
ArcSort(model, StdILabelCompare());

// Container for composition result.
StdVectorFst result;

// Create the composed FST
Compose(*input, *model, &result);

// Just keeps the output labels
Project(&result, PROJECT_OUTPUT);
```



## Example: Shortest-Distance with Various Semirings

- **Tropical Semiring:**

```
Fst<StdArc> *input = Fst<StdArc>::Read("input.fst");  
vector<StdArc::Weight> distance;  
ShortestDistance(*input, &distance);
```

- **Log Semiring:**

```
Fst<LogArc> *input = Fst::Read("input.fst");  
vector<LogArc::Weight> distance;  
ShortestDistance(*input, &distance);
```

- **Right String Semiring:**

```
typedef StringArc<TropicalWeight, STRING_RIGHT> SA;  
Fst<SA> *input = Fst::Read("input.fst");  
vector<SA::Weight> distance;  
ShortestDistance(*input, &distance);
```

- **Left String Semiring:**

```
ERROR: ShortestDistance: Weights need to be right distributive
```

## Transition Representation

- We have represented a transition as:

$$e \in Q \times (\Sigma \cup \{\epsilon\}) \times (\Delta \cup \{\epsilon\}) \times \mathbb{K} \times Q.$$

- Treats input and output symmetrically
- Space-efficient single output-label per transition
- Natural representation for composition algorithm

- Alternative representation of a transition:

$$e \in Q \times (\Sigma \cup \{\epsilon\}) \times \Delta^* \times \mathbb{K} \times Q.$$

or equivalently,

$$e \in Q \times (\Sigma \cup \{\epsilon\}) \times \mathbb{K}' \times Q, \quad \mathbb{K}' = \Delta^* \times \mathbb{K}.$$

- Treats string and  $\mathbb{K}$  outputs uniformly
- Natural representation for weighted transducer determinization, minimization, label pushing, and epsilon normalization.

## Using the Alternative Transition Representation

- We can use the alternative transition representation with:

```
typedef ProductWeight<StringWeight, TropicalWeight> CompositeWeight;
```

- Weighted transducer determinization becomes:

```
Fst<StdArc> *input = Fst::Read("input.fst");  
// Converts into alternative transition representation  
MapFst<StdArc, CompositeArc> composite(*input, ToCompositeMapper);  
WeightedDeterminizeFst<CompositeArc> det(composite);  
// Ensures only one output label per transition (functional input)  
FactorWeightFst<CompositeArc> factor(det);  
// Converts back from alternative transition representation  
MapFst<CompositeArc> result(factor, FromCompositeMapper);
```

- Efficiency is not sacrificed given the lazy computation and an efficient string semiring representation.
- Weighted transducer minimization, label pushing and epsilon normalization are similarly implemented easily using the generic (acceptor) weighted minimization, weight pushing, and epsilon removal algorithms.

## Example: Expectation Semiring

Let  $\mathbb{K}$  denote  $(\mathbb{R} \cup \{+\infty, -\infty\}) \times (\mathbb{R} \cup \{+\infty, -\infty\})$ . For pairs  $(x_1, y_1)$  and  $(x_2, y_2)$  in  $\mathbb{K}$ , define the following :

$$\begin{aligned}(x_1, y_1) \oplus (x_2, y_2) &= (x_1 + x_2, y_1 + y_2) \\ (x_1, y_1) \otimes (x_2, y_2) &= (x_1 x_2, x_1 y_2 + x_2 y_1)\end{aligned}$$

The system  $(\mathbb{K}, \oplus, \otimes, (0, 0), (1, 0))$  defines a commutative semiring.

This semiring combined with the composition and shortest-distance algorithms can be used to compute the relative entropy between probabilistic automata [C. Cortes, M. Mohri, A. Rastogi, and M. Riley. On the Computation of the Relative Entropy of Probabilistic Automata. *International Journal of Foundations of Computer Science*, 2007.]:

$$D(A\|B) = \sum_x \llbracket A \rrbracket(x) \log \llbracket A \rrbracket(x) - \sum_x \llbracket A \rrbracket(x) \log \llbracket B \rrbracket(x).$$

This algorithm is trivially implemented in the OpenFst Library.

## OpenFst Design: Fst (generic)

```
template <class Arc>
class Fst {
public:
    virtual StateId Start() const = 0;           // Initial state
    virtual Weight Final(StateId) const = 0;    // State's final weight
    static Fst<Arc> *Read(const string filename);
}
```

## OpenFst Design: State Iterator

```
template <class F>
class StateIterator {
public:
    explicit StateIterator(const F &fst);
    virtual ~StateIterator();
    virtual bool Done();           // States exhausted?
    virtual StateId Value() const; // Current state Id
    virtual void Next();          // Advance a state
    virtual void Reset();        //Start over
}
```

## OpenFst Design: Arc Iterator

```
template <class F>
class ArcIterator {
public:
    explicit ArcIterator(const F &fst, StateId s);
    virtual ~ArcIterator();
    virtual bool Done(); // Arcs exhausted?
    virtual const Arc &Value() const; // Current arc
    virtual void Next(); // Advance an arc
    virtual void Reset(); // Start over
    virtual void Seek(size_t a); // Random access
}
```



## OpenFst Design: MutableFst

```
template <class Arc>
class MutableFst : public Fst<Arc> {
public:
    void SetStart(StateId s);           // Set initial state
    void SetFinal(StateId s, Weight w); // Set final weight
    void AddState();                   // Add a state
    void AddArc(StateId s, const Arc &arc); // Add an arc
}
```

## OpenFst Design: Mutable Arc Iterator

```
template <class F>
class MutableArcIterator {
public:
    explicit MutableArcIterator(F *fst, StateId s);
    virtual ~MutableArcIterator();
    virtual bool Done(); // Arcs exhausted?
    virtual const Arc &Value() const; // Current arc
    virtual void Next(); // Advance an arc
    virtual void Reset(); // Start over
    virtual void Seek(size_t a); // Random access
    virtual void SetValue(const Arc &arc); // Set current arc
}
```

## OpenFst Design: Invert (Destructive)

```
template <class Arc> void Invert(MutableFst<Arc> *fst) {
    for (StateIterator< MutableFst<Arc> > siter(*fst);
        !siter.Done();
        siter.Next()) {
        StateId s = siter.Value();
        for (MutableArcIterator< MutableFst<Arc> > aiter(fst, s);
            !aiter.Done();
            aiter.Next()) {
            Arc arc = aiter.Value();
            Label l = arc.ilabel;
            arc.ilabel = arc.olabel;
            arc.olabel = l;
            aiter.SetValue(arc);
        }
    }
}
```

## OpenFst Design: Invert (Lazy)

```
template <class Arc> class InvertFst : public Fst<Arc>{
public:
    virtual StateId Start() const { return fst_->Start(); }
    ...
private:
    const Fst<Arc> *fst_;
}
```

```
template <class F> Arc ArcIterator<F>::Value() const {
    Arc arc = arcs_[i_];
    Label l = arc.ilabel;
    arc.ilabel = arc.olabel;
    arc.olabel = l;
    return arc;
}
```