

# Partial Permutations Comparison, Maintenance and Applications

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# Outline

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- Definitions
- Applications
- Negative Result – Reduction to OV
- Positive Results

# Definition: Permutation

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A **permutation** is a **bijection**  $\Pi : \Sigma \rightarrow \Sigma$  mapping every symbol  $\sigma_i \in \Sigma$  to a distinct symbol  $\sigma_j \in \Sigma$ .

$$\pi = \begin{pmatrix} a & b & c & d & e & f & g \\ a & c & e & g & b & d & f \end{pmatrix}$$

# Definition: Partial Permutation

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A **partial permutation** is a bijection

$\pi_{\text{par}} : \Sigma_1 \rightarrow \Sigma_2$  mapping a subset  $\Sigma_1 \subset \Sigma$  to a subset  $\Sigma_2 \subset \Sigma$ , where  $|\Sigma_1| = |\Sigma_2|$ .

$$\pi_{\text{par}} = \begin{pmatrix} \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{d} & \mathbf{e} & \mathbf{f} & \mathbf{g} \\ \mathbf{a} & - & \mathbf{e} & - & \mathbf{b} & - & - \end{pmatrix}$$

dimension = 7

# Partial Permutations Comparison and Maintenance

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**Input:** Sets  $A_1, A_2$  of  $d$ -dimensional partial permutations over alphabet  $\Sigma$ .

**Goals:** - Be able to compare  $\pi_1$  and  $\pi_2$ ,  $\pi_1 \in A_1$   $\pi_2 \in A_2$ .  
- Maintain a dynamic set of partial permutations, supporting search operations.

# Applications

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- Gene Sequence Comparisons.
- Color Transformations as Data Augmentation Technique.
- Strict Parameterized Dictionary Matching with One Gap.

# Comparison of Partial Permutations

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$$\pi_1 = \begin{pmatrix} a & b & c & d & e & f & g \\ a & - & c & d & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} a & b & c & d & e & f & g \\ a & - & c & d & - & - & - \end{pmatrix}$$

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$$\pi_1 = \begin{pmatrix} a & b & c & d & e & f & g \\ a & - & c & d & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} a & b & c & d & e & f & g \\ a & e & c & - & g & - & b \end{pmatrix}$$

# Definition:

## Conflict of Partial Permutations

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Partial permutations  $\pi_1, \pi_2$  have a **conflict** if either:

- $\exists i, j, i \neq j$ , s.t.  $\exists \sigma_k$  where  $\pi_1(\sigma_k) = \sigma_i$  and  $\pi_2(\sigma_k) = \sigma_j$
- $\exists i, j, i \neq j$ , s.t.  $\exists \sigma_k$  where  $\pi_1(\sigma_i) = \pi_2(\sigma_j) = \sigma_k$



# Example of Conflicting Partial Permutations

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$$\pi_1 = \begin{pmatrix} \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{d} & e & f & g \\ \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{f} & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{d} & \mathbf{e} & \mathbf{f} & \mathbf{g} \\ - & \mathbf{e} & - & - & \mathbf{g} & - & \mathbf{b} \end{pmatrix}$$

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$$\pi_1 = \begin{pmatrix} \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{d} & e & f & g \\ \mathbf{a} & - & \mathbf{c} & \mathbf{d} & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{d} & \mathbf{e} & \mathbf{f} & \mathbf{g} \\ - & \mathbf{c} & - & - & \mathbf{g} & - & \mathbf{b} \end{pmatrix}$$

# Universal Condition of Partial Permutation Agreement

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Lemma :

Let  $D(\pi)$  be the domain of  $\pi$ , and  $C(\pi)$  be the codomain of  $\pi$ .

Partial permutations  $\pi_1, \pi_2$  agree iff :

- $\forall \sigma_k \in D(\pi_1) \cap D(\pi_2)$  if  $\pi_1(\sigma_k) = \sigma_i$  then  $\pi_2(\sigma_k) = \sigma_i$
- $\forall \sigma_k \in C(\pi_1) \cap C(\pi_2)$  if  $\pi_1(\sigma_i) = \sigma_k$  then  $\pi_2(\sigma_i) = \sigma_k$

# Example of Partial Permutations Agreement

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$$\pi_1 = \begin{pmatrix} a & b & c & d & e & f & g \\ a & - & c & d & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} a & b & c & d & e & f & g \\ - & e & c & - & g & - & b \end{pmatrix}$$

$\pi_1, \pi_2$  agree

# Representing Partial Permutations

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A partial permutation  $\pi$  can be represented as a string  $S_\pi$  of length  $|\Sigma|$ .  $S_\pi = \pi(\sigma_1) \pi(\sigma_2) \dots \pi(\sigma_{|\Sigma|})$ , where  $\pi(\sigma_i) = *$  (a don't care symbol) if  $\sigma_i \notin D(\pi)$

Example: Let  $\pi = \begin{pmatrix} a & b & c & d & e & f & g \\ - & e & - & - & g & - & b \end{pmatrix}$

We have that  $S_\pi = * e * * g * b$

# Representing Partial Permutations

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Let  $S_{\pi_1} S_{\pi_2}$  be strings representing partial permutations. It is possible that  $S_{\pi_1}$  matches  $S_{\pi_2}$  yet the permutations they represent have a conflict.

$$\pi_1 = \begin{pmatrix} \mathbf{a} & \mathbf{b} & \mathbf{c} & d & e & f \\ \mathbf{e} & \mathbf{c} & \mathbf{d} & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} \mathbf{a} & \mathbf{b} & c & d & \mathbf{e} & f \\ \mathbf{e} & \mathbf{c} & - & - & \mathbf{d} & - \end{pmatrix}$$

$$S_{\pi_1} = e c d * * * \quad \text{matches}$$

$$S_{\pi_2} = e c * * d *$$

# Definition: The Inverse of a Partial Permutation

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Let  $\pi$  be a partial permutation over  $\Sigma$ , where  $\pi: \Sigma_1 \rightarrow \Sigma_2$ ,  $\Sigma_1 \subset \Sigma$ ,  $\Sigma_2 \subset \Sigma$  and  $|\Sigma_1| = |\Sigma_2|$ .

The inverse of a partial permutation is the bijection  $\pi: \Sigma_2 \rightarrow \Sigma_1$  s.t.  $\forall \sigma_i \in \Sigma_2, \pi^{-1}(\sigma_i) = \sigma_j$  iff  $\pi(\sigma_j) = \sigma_i$

$$\Pi = \begin{pmatrix} a & b & c & d & e & f & g \\ - & e & - & - & g & - & b \end{pmatrix} \quad \Pi^{-1} = \begin{pmatrix} a & b & c & d & e & f & g \\ - & g & - & - & b & - & e \end{pmatrix}$$

# Representing Partial Permutation Agreement

**Lemma :** Given partial permutations  $\pi_1, \pi_2$  then,

$S_{\pi_1} \circ S_{\pi_1}^{-1}$  matches  $S_{\pi_2} \circ S_{\pi_2}^{-1}$  iff  $\pi_1$  and  $\pi_2$  agree.

[ $\circ$  denotes concatenation]

$$\pi_1 = \begin{pmatrix} a & b & c & d & e & f \\ e & c & \mathbf{d} & - & - & - \end{pmatrix} \quad \pi_2 = \begin{pmatrix} a & b & c & d & e & f \\ e & c & - & - & \mathbf{d} & - \end{pmatrix}$$

$$S_{\pi_1} \circ S_{\pi_1}^{-1} = e c d * * * \circ * * \mathbf{b c} a *$$

$$S_{\pi_2} \circ S_{\pi_2}^{-1} = e c * * d * \circ * * \mathbf{b e} a *$$

# The Partial Permutations Agreement Problem (PPA)

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**Input:** Sets  $A_1, A_2$  of  $d$ -dimensional partial permutations over alphabet  $\Sigma$ , where  $|A_1| = |A_2| = n$ .

**Output:** All pairs  $(\pi_1, \pi_2)$ ,  $\pi_1 \in A_1$   $\pi_2 \in A_2$  where  $\pi_1$  and  $\pi_2$  agree.

If  $|A_1| = n$  and  $|A_2| = 1$ , the problem is called the **Single query PPA problem (SPPA)**



# Naïve Solution for PPA Problem

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For each pair  $(\pi_1, \pi_2)$ ,  $\pi_1 \in A_1$ ,  $\pi_2 \in A_2$

Match  $S_{\pi_1} \circ S_{\pi_1}^{-1}$  with  $S_{\pi_2} \circ S_{\pi_2}^{-1}$ .

**Time:**  $O(n^2|\Sigma|)$

**Space:**  $O(n|\Sigma|)$

# The Orthogonal Vectors Problem (OV)

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**Input:** Sets  $S_1, S_2$  of binary  $d$ -dimensional vectors where  $|S_1| = |S_2| = n$ ,  $d = \omega(\log n)$ .

**Output:** Decide whether there exist  $v_1 \in S_1, v_2 \in S_2$ , where  $v_1 \cdot v_2 = \sum_{i=1}^d v_1[i] \cdot v_2[i] = 0$ .

# Fine Grained Complexity

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**Theorem** [Impagliazzo & Paturi, 2001]:

Given sets  $S_1, S_2$  of binary  $d$ -dimensional vectors, where  $|S_1|=|S_2|=n$  and  $d = \Theta(\log n)$ , there does not exist  $\varepsilon > 0$  s.t.  $OV$  is solvable in  $O(n^{2-\varepsilon})$  time unless the Strong Exponential Time Hypothesis (SETH) is false.

# Lemma

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OV is reducible to Partial Permutations

Agreement (PPA) in  $O(nd)$  time and space.

Given  $(S_1, S_2, n, d) \in OV$  we construct  $A_1, A_2, \Sigma$   
where  $v_1 \in S_1, v_2 \in S_2$  s. t.  $v_1, v_2$  are orthogonal iff  
there are  $\pi_i \in A_1, \pi_j \in A_2$  s. t.  $\pi_1$  agree with  $\pi_2$ .

# The Reduction

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Given sets  $S_1, S_2$  of  $n$   $d$ -dimensional binary vectors, we construct sets  $A_1, A_2$  of partial permutations over  $\Sigma$ .

We set  $|\Sigma| = d+1$ .

$\forall v_i = (b^i_1, b^i_2, \dots, b^i_d) \in S_x$  we construct a partial permutation gadget including the mapping of  $\sigma_k \in \Sigma$  iff  $b^i_k = 1 \quad \forall 1 \leq k \leq d$

$$v_i = (1, 0, 1, 1, 0, 0) \quad \longrightarrow \quad \pi_{v_i} = \begin{pmatrix} a & b & c & d & e & f & g \\ ? & - & ? & ? & - & - & - \end{pmatrix}$$

$d=6$

# The Construction for $S_1$ Vectors

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For  $v_i = (b^i_1, b^i_2, \dots, b^i_d) \in S_1$ , their associated gadget  $\pi_{v_i}$  has the following mapping:

- $\forall \sigma_k \in \Sigma$  if  $b^i_k = 1 \ \forall 1 \leq k \leq d$ , then  $\pi_{v_i}(\sigma_k) = \sigma_k$
- Consider  $b^i_{d+1} = 0$

$$v_i = (1, 0, 1, 1, 0, 0) \in S_1 \quad \longrightarrow \quad \pi_{v_i} = \begin{matrix} & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ \begin{pmatrix} a & b & c & d & e & f & g \\ a & - & c & d & - & - & - \end{pmatrix} \end{matrix}$$

# The Construction for $S_2$ Vectors

For  $v_j = (b^j_1, b^j_2, \dots, b^j_d) \in S_2$ , their associated gadget  $\pi_{v_j}$  has the following mapping:

- $\forall \sigma_k \in \Sigma$  if  $b^j_k = 1 \ \forall 1 \leq k \leq d$ , then  $\pi_{v_j}(\sigma_k) = \sigma_g$  where  $\sigma_g$  is the symbol that is cyclically to the right of  $\sigma_k$  in the sorting of  $D(\pi_{v_j})$

- Consider  $b^j_{d+1} = 1$

$$v_j = (0, 1, 0, 0, 1, 0) \in S_2 \quad \longrightarrow \quad \pi_{v_j} = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ \mathbf{a} & \mathbf{b} & \mathbf{c} & \mathbf{d} & \mathbf{e} & \mathbf{f} & \mathbf{g} \\ - & \mathbf{e} & - & - & \mathbf{g} & - & \mathbf{b} \end{pmatrix}$$

# The Reduction Proof

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- Let  $v_1 \in S_1, v_2 \in S_2$  be **non-orthogonal**. Thus,  $v_1 \cdot v_2 \geq 1$  implying there exists at least one index  $k$ , s.t.  $b^1_k = 1$  and  $b^2_k = 1$ .
- According to the construction  $\pi_{v_1}(\sigma_k) = \sigma_k$
- Yet,  $\pi_{v_2}(\sigma_k) = \sigma_g$  where  $\sigma_g$  is the symbol that is cyclically to the right of  $\sigma_k$  in the sorting of  $D(\pi_{v_2})$
- Due to the addition of  $\sigma_{d+1}$  only to  $\pi_{v_2}$ ,  $\pi_{v_2}(\sigma_k) \neq \sigma_k$
- Hence,  $\pi_{v_1}(\sigma_k) \neq \pi_{v_2}(\sigma_k)$  and there is a **conflict**.



# Example of the Reduction Proof

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- Let  $v_1 \in S_1$ ,  $v_2 \in S_2$  be non-orthogonal. Thus,  $v_1 \cdot v_2 \geq 1$  implying there exist at least one index  $k$ , s.t.  $b^1_k = 1$  and  $b^2_k = 1$ .

$$v_1 = (1, \boxed{1}, 1, 1, 0, 0) \in S_1 \quad v_2 = (0, \boxed{1}, 0, 0, 0, 0) \in S_2$$

$\Downarrow$   $\Downarrow$

$$\pi_{v_1} = \begin{pmatrix} a & \boxed{\mathbf{b}} & c & d & e & f & g \\ a & \boxed{\mathbf{b}} & c & d & - & - & - \end{pmatrix} \quad \pi_{v_2} = \begin{pmatrix} a & \boxed{\mathbf{b}} & c & d & e & f & g \\ - & \boxed{\mathbf{g}} & - & - & - & - & \mathbf{b} \end{pmatrix}$$

# The Reduction Proof

- Let  $v_1 \in S_1$ ,  $v_2 \in S_2$  **be orthogonal**. Thus,  $v_1 \cdot v_2 = 0$  implying at most one of  $\{b_k^1, b_k^2\}$  equals 1,  $\forall 1 \leq k \leq d$ .

According to the construction: If  $b_k^1 = 1$  then  $\pi_{v_1}(\sigma_k) = \sigma_k$

Yet,  $b_k^2 = 0$ , thus  $\sigma_k \notin D(\pi_{v_2})$ .

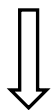
It is not possible that  $\pi_{v_2}(\sigma_g) = \sigma_k$ , for  $\sigma_g \neq \sigma_k$ , as  $\pi_{v_2}$  maps  $\sigma_g$  to a symbol that is in the sorting of  $D(\pi_{v_2})$ , and  $D(\pi_{v_2}) = C(\pi_{v_2})$

Hence, there is no conflict.

# Example of the Reduction Proof

- Let  $v_1 \in S_1$ ,  $v_2 \in S_2$  **be orthogonal**. Thus,  $v_1 \cdot v_2 = 0$  implying at most one of  $\{b^1_k, b^2_k\}$  equals 1,  $\forall 1 \leq k \leq d$ .
- We get that the gadget partial permutations agree.

$$v_1 = (1, 0, 1, 1, 0, 0) \in S_1 \quad v_2 = (0, 1, 0, 0, 1, 0) \in S_2$$



$$\pi_{v_1} = \begin{pmatrix} a & b & c & d & e & f & g \\ a & - & c & d & - & - & - \end{pmatrix} \quad \pi_{v_2} = \begin{pmatrix} a & b & c & d & e & f & g \\ - & e & - & - & g & - & b \end{pmatrix}$$

# Results

## Positive:

Almost Full Perm.

PPA query:

$O(n \cdot \text{poly}(|\Sigma|))$

Update  $O(\text{poly}(|\Sigma|))$

Space:  $O(n \cdot \text{poly}(|\Sigma|))$

Partial Permutations

PPA query:

$O(n \cdot \text{poly}(|\Sigma|))$

Update  $O(\text{poly}(|\Sigma|))$

Space:  $O(n \cdot \text{poly}(|\Sigma|))$

## Negative:

If PPA is solvable in  $O(n^{2-\epsilon}|\Sigma|)$  time ( $\epsilon > 0$ ) and  $O(n|\Sigma|)$  space, then SETH is false.

# Open Issues

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- Is there an efficient solution for PPA for special cases?
- It seems that partial permutations play a hidden role in more applications. It may be interesting to explore their algorithmic usage.

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*Thank You!*